

## Efficient multivariate low-degree tests via interactive oracle proofs of proximity for polynomial codes

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# Efficient multivariate low-degree tests via interactive oracle proofs of proximity for polynomial codes

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## Abstract

We consider the proximity testing problem for error-correcting codes which consist in evaluations of multivariate polynomials either of bounded individual degree or bounded total degree. Namely, given an oracle function  $f : L^m \rightarrow \mathbb{F}_q$ , where  $L \subset \mathbb{F}_q$ , a verifier distinguishes whether  $f$  is the evaluation of a low-degree polynomial or is far (in relative Hamming distance) from being one, by making only a few queries to  $f$ . This topic has been studied in the context of locally testable codes, interactive proofs, probabilistically checkable proofs, and interactive oracle proofs. We present the first interactive oracle proofs of proximity (IOPP) for tensor products of Reed-Solomon codes (evaluation of polynomials with bounds on individual degrees) and for Reed-Muller codes (evaluation of polynomials with a bound on the total degree).

Such low-degree polynomials play a central role in constructions of probabilistic proof systems and succinct non-interactive arguments of knowledge with zero-knowledge. For these applications, highly-efficient multivariate low-degree tests are desired, but prior probabilistic proofs of proximity required super-linear proving time. In contrast, for multivariate codes of length  $N$ , our constructions admit a prover running in time linear in  $N$  and a verifier which is logarithmic in  $N$ .

For fixed constant number of variables  $m$ , the efficiency parameters of our IOPPs for multivariate codes compare well, all things equal, with those of the IOPP for Reed-Solomon codes of [Ben-Sasson *et al.*, ICALP 2018] from which they are directly inspired.

**Keywords:** Algebraic coding theory; Reed-Solomon codes; Product codes; Reed-Muller codes; Low degree testing; Interactive proof systems.

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# 1 Introduction

Let  $\mathbb{F}_q$  be a finite field of size  $q$ . Any function  $f : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  can be written as a polynomial of individual degrees at most  $q - 1$ , hence a polynomial of total degree  $\leq m(q - 1)$ . The problem of *low-degree testing* can be formulated as follows. Given a proximity parameter  $\delta \in (0, 1)$  and oracle access to a function  $f : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  (as a table of values), check with a few queries whether  $f$  is a polynomial function of low degree compared to  $q$ , or  $\delta$ -far in relative Hamming distance from being low-degree. The main focus of this paper is the problem of low-degree testing applied to a function  $f : L^m \rightarrow \mathbb{F}_q$  with  $L \subset \mathbb{F}_q$ . Multivariate low-degree tests fall into two flavours, depending on whether one requires a bound on the total degree or the individual degree. In the former case, the low-degree test can be considered as a proximity test to a Reed-Muller code. In the latter case, it corresponds to a proximity test to the  $m$ -wise tensor product of a Reed-Solomon code. See Section 2 for formal definitions of those codes.

Low-degree tests have been the subject of a substantial body of research during the past four decades. Indeed, design and better analysis of low-degree tests have gone hand in hand with the construction of efficient probabilistically checkable proofs (PCPs), interactive proofs (IPs) and locally testable codes (LTCs). One motivation for designing probabilistic proof systems with low communication complexity, fast generation and sublinear verification is the application to verifiable computation. In [BBHR18], the authors point out that a subsequent bottleneck of PCP-based proof systems is that of computing solutions to the low-degree testing problem for multivariate polynomials. A few years ago, [BCS16, RRR16] introduced interactive oracle proofs (IOPs), which generalize both PCPs, IPs and interactive PCPs [KR08] and open a new large design space. On the contrary of known PCPs constructions, it turns out that the IOP model enable the design of proofs systems that are efficient enough for practical applications of zero-knowledge proofs and schemes for delegated computation. Indeed, highly-efficient IOPs lead to efficient succinct transparent non-interactive arguments [AHIV17, BCG<sup>+</sup>17, BBHR18, BBHR19, KPV19, BCR<sup>+</sup>19, BCG20] with real-world deployments [BBHR19, Sta21]. Interactive oracle proofs of proximity (IOPP) are the natural generalization of probabilistically checkable proofs of proximity (PCPP) [DR04, BGH<sup>+</sup>04] to the IOP model. Several of the aforementioned constructions crucially rely on a *prover-efficient* IOPP for Reed-Solomon codes (see Definition 1) which the authors of [BBHR18] named FRI protocol. Improved soundness analysis of the FRI protocol appear in subsequent works [BKS18, BGKS20, BCI<sup>+</sup>20]. While multivariate low degree tests have been extensively studied in the PCPP model, they have not been the subject of any direct construction in the IOPP model.

## 1.1 Interactive oracle proof of proximity to a code

In this work, we will consider linear codes  $C$  with evaluation domain  $D$  of size  $n = |D|$  and alphabet  $\mathbb{F}_q$  (i.e.,  $C \subseteq \mathbb{F}_q^D$ ). An IOPP  $(\mathcal{P}, \mathcal{V})$  for a code  $C$  is a pair of probabilistic algorithms,  $\mathcal{P}$  is designated as *prover* and  $\mathcal{V}$  as *verifier*.

The IOPP  $(\mathcal{P}, \mathcal{V})$  has round complexity  $r(n)$  if the prover and the verifier interact over at most  $r(n)$  rounds. At each round, the verifier sends a message to the prover, and the prover answers with an oracle. We denote by  $\langle \mathcal{P} \leftrightarrow \mathcal{V} \rangle \in \{\text{accept}, \text{reject}\}$  the output of  $\mathcal{V}$  after interacting with  $\mathcal{P}$ . The notation  $\mathcal{V}^f(C)$  means that  $f$  is given as an oracle input to  $\mathcal{V}$ , while  $\mathcal{P}(C, f)$  means that the prover has access to full codeword. Both know the code  $C$ .

**Definition 1** (IOPP for a code  $C$ ). *We say that a pair of probabilistic algorithms  $(\mathcal{P}, \mathcal{V})$  is an IOPP system for a code  $C$  with soundness error  $s : (0, 1] \rightarrow [0, 1]$  if the following two conditions hold:*

**Perfect completeness:** *If  $f \in C$ , then  $\Pr[\langle \mathcal{P}(C, f) \leftrightarrow \mathcal{V}^f(C) \rangle = \text{accept}] = 1$ .*

**Soundness:** For any function  $f \in \mathbb{F}_q^D$  such that  $\delta := \Delta(f, C) > 0$  and any unbounded malicious prover  $\mathcal{P}^*$ ,  $\Pr[\langle \mathcal{P}^* \leftrightarrow \mathcal{V}^f(C) \rangle = \text{accept}] \leq s(\delta)$ .

The IOPP is *public-coin* if verifier’s messages are generated by public randomness and queries are performed after the end of the interaction with the prover. Throughout this paper, we will consider arithmetic complexities, and we assume each arithmetic operation performed in  $\mathbb{F}_q$  takes constant time. Relevant measures for an IOPP system are the following. The alphabet of the IOPP we consider will be a finite field  $\mathbb{F}_q$ . The total number of field elements of all the oracles built by the prover during the interaction is the proof length  $l(n)$  of the IOPP. The query complexity  $q(n)$  is the total number of symbols queried by the verifier to both the purported codeword  $f$  and the oracles sent by the prover during the interaction. The prover complexity  $t_p(n)$  is the time needed to generate prover messages. The verifier complexity  $t_v(n)$  is the time spent by the verifier to make her decision when queries and query-answers are given as inputs.

## 1.2 Contributions and outline

As mentioned above, the focus of the present paper is to tackle the low-degree testing problem for an oracle function  $f : L^m \rightarrow \mathbb{F}_q$  and a degree  $d < |L|$ . Specifically, we propose two direct constructions: the first is an IOPP for the tensor product of Reed-Solomon codes, the second an IOPP for Reed-Muller codes. The alphabets  $\mathbb{F}_q$  which we consider admit either smooth multiplicative subgroups or smooth affine subspaces, where smooth means that the size of the set is a power of a small fixed integer.

Our two IOPPs are generalizations of the FRI protocol [BBHR18] to the multivariate case. If  $m$  is a constant, they have strictly linear-time prover and strictly logarithmic-time verifier (with respect to the blocklength  $|L|^m$  of the code). In particular, query complexity is logarithmic in the degree bound  $d$ . Previous low-degree tests required the verifier to query a number of field elements linear in  $d$ . Since our constructions are explicit, all efficiency measures of the two IOPPs are explicitly presented. These parameters match the IOPP for Reed-Solomon codes of [BBHR18], from which they are inspired. Concerning applications to IOP constructions, having a constant number of variables  $m$  can be relevant. Indeed, linear-size IOPs have already been constructed from  $m$ -wise tensor product codes [BCG20] and  $m$  were a fixed integer there. For Reed-Muller codes and unlike previous works, we are able to consider a support  $L^m$  where  $L \subset \mathbb{F}_q$  can be much smaller than  $\mathbb{F}_q$ . We think that allowing smaller support might give more flexibility in the design of proof systems.

The organization of the paper is the following. Basic definitions and notations are given in Section 2. In Section 3, we define generic folding operators, which allow to reduce the initial proximity testing problem to a constant-size problem by a divide-and-conquer procedure. Then, a generic construction of an IOPP based on such folding operators is presented. The main purpose of Section 3 is to provide once and for all a unified soundness analysis of IOPP constructions which are based on properties of folding operators. This soundness analysis can be applied to the two explicit constructions of IOPPs we give in the present work, and generalizes the analyses of [BBHR18, BN20]. Section 4 provides technical lemmas about decomposition of multivariate polynomials and multivariate interpolation complexities. In Section 5, we study a special case of worst-case to average-case reduction of distance for linear subspaces, which will be used in our soundness analyses. In Section 7 and Section 8, we instantiate the generic construction of Section 3 to provide an IOPP for tensor products of Reed-Solomon codes and an IOPP Reed-Muller codes, respectively.

### 1.3 Related work and comparisons

**Proximity problem for tensor product of Reed-Solomon codes** Low-degree tests for bounded individual degree appear in numerous constructions of probabilistic proof systems [BFL90, BFLS91, PS94, FHS94, ALM<sup>+</sup>, RS97, FGL<sup>+</sup>96, BS08] and play a central role in constructing short PCPs [PS94, BS08, Mie09]. The common idea of such tests is to rely on the following characterization. A function  $f : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  is a  $m$ -variate polynomial function of individual degrees at most  $d$  if and only if, for any  $k$ -dimensional axis-parallel affine subspace  $S$  of  $\mathbb{F}_q^m$ , the restriction of  $f$  to  $S$  is a  $k$ -variate polynomial of individual degree  $d$ .

Ben-Sasson and Sudan [BS08] constructed a PCPP for the tensor product of RS codes by relying on their PCPP for Reed-Solomon codes. The PCPP to test a function  $f : L^m \rightarrow \mathbb{F}$  is composed by a PCPP for Reed-Solomon codes (RS-PCPP) for each restriction of  $f$  to an axis-parallel line. Therefore, the prover needs to compute  $m|L|^{m-1}$  RS-PCPP, which yields prover complexity and proof length less than  $m|L|^m \log^{1.5}|L|$ . Both verifier complexity and query complexity are polylogarithmic in  $|L|$ . Our IOPP for the tensor of RS codes outperforms on all these parameters.

In the IOP model, there is no IOPP specifically tailored for tensor product of Reed-Solomon codes. Ron-Zewi and Rothblum [RR20] proposed an IOPP for any language computable in  $\text{poly}(n^m)$  time and bounded space. In particular, this gives a linear-size IOPP for Reed-Muller codes and tensor product of Reed-Solomon codes with polynomial prover complexity and sublinear verifier complexity.

However, there are a couple of IOPP constructions for  $m$ -wise tensor product of a generic linear code  $C$ . Indeed, axis-parallel tests enable local testability of repeated tensor products of any linear codes [BS06, Vid15, CMS17]. Ben-Sasson *et al.* [BCG<sup>+</sup>17] suggested a 1-round IOPP system for tensor product codes  $C^{\otimes m}$ , where  $C$  is an arbitrary linear code and  $m \geq 3$ . Through interactive proof composition, Ben-Sasson *et al.* combine the robust local tester of [BS06, Vid15, CMS17] for tensor product codes with the Mie’s PCP of Proximity for non-deterministic languages [Mie09]. The IOPP system constructed there has sublinear proof length and constant query complexity, which is significantly better than our protocol. However, for fixed  $m > 3$ , the verifier in [BCG<sup>+</sup>17] runs in time which is polylogarithmic in the length  $n$  of the base code  $C$ , whereas our verifier decision complexity is strictly logarithmic in  $n$ . Besides, and as opposed as our work, the IOPP system of [BCG<sup>+</sup>17] assume the proximity parameter to be smaller than half the minimum distance of the tensor code. Our construction is arguably much simpler to implement, as we do not rely on an heavy PCPP for NTIME, like Mie’s one [Mie09].

Recently, Bootle, Chiesa and Groth [BCG20] showed how to construct a  $m$ -rounds IOPP for tensor codes  $C^{\otimes m}$ , where  $C$  is an arbitrary linear code of length  $n$  and dimension  $k$ . Their construction also relies on a folding operation (inspired by the FRI protocol of [BBHR19]) but takes a different approach than ours due to their need to work with linear-time encodable codes. In particular, performing the folding operation defined in [BCG20] requires to run an encoding algorithm for the  $m$ -wise tensor code  $C^{\otimes m}$ . When considering  $C$  a Reed-Solomon code, best known encoding algorithms run in time at least quasi-linear in  $n$ . In contrast, our IOPP does not rely on any encoding procedure of neither the tensor code, nor the base code.

**Proximity problem for Reed-Muller codes** A substantial body of research studies low total degree test [GLR<sup>+</sup>91, RS92, RS96, RS97, AS03, BSSVW03, MR08] with evaluations over the entire domain  $\mathbb{F}_q^m$ . For this setting, considering restrictions of  $f$  to affine subspaces of fixed dimension is quite natural. Indeed, if  $f : \mathbb{F}_q^m \rightarrow \mathbb{F}_q$  has total degree at most  $d$  then all its restrictions to  $u$ -dimensional affine subspaces are  $u$ -variate polynomials of degree at most  $d$ .

For example, the “line-versus-point” test of Rubinfeld and Sudan [RS96] consists in checking the

Scheme	Prover	Verifier	Query	Length	Rounds
[BS08, BCGT13] <sup>†</sup>	$O(mn^m \log^{1.5} n)$	$\text{polylog}(n)$	$\text{polylog}(n)$	$O(mn^m \log^{1.5} n)$	0
[BCG <sup>+</sup> 17] <sup>‡</sup> *	$o(n^m)$	$\text{poly}(m + \log n)$	$O(1)$	$o(n^m)$	1
[RR20] <sup>‡</sup>	$\text{poly}(n^m)$	$(n^m)^\varepsilon$	$O(1)$	$< n^m$	$O(1)$
[BCG20] <sup>‡</sup>	$O(mn^m \log n)$	$O(nm \log n)$	$O(nm)$	$O(n^m)$	$m$
Ours <sup>‡</sup>	$< (2m + 4)n^m$	$< 4(2^m + m) \log n$	$< 2^m \log n$	$< \frac{n^m}{2^m - 1}$	$< \log n$

<sup>†</sup>: PCPP. <sup>‡</sup>: IOPP.

\*: restricted to  $m \geq 3$  and  $\delta$  smaller than half the minimum distance of the tensor code.

Figure 1: Partial comparison of protocols solving the problem of proximity testing for tensor product of RS codes of length  $n^m$ . Soundness is omitted since it is difficult to provide and compare uniformly.

restriction of the function  $f$  to a randomly chosen line in  $\mathbb{F}_q^m$ . Analyses [RS96, AS03, ALM<sup>+</sup>] showed that if the test accepts a function  $f$  with probability  $\delta$ , then  $f$  agrees with a degree- $d$  polynomial on  $\simeq \delta$  fraction of points. The verifier queries  $O(d^3)$  field elements to achieve constant soundness error. The original low-degree test of [RS96] can be reformulated in terms of a PCPP if we consider that an auxiliary oracle is given in addition to  $f$ . Such oracle proof is supposed to contain the restrictions of  $f$  to every line, represented as the  $d + 1$  coefficients of a univariate polynomial. Then, the number of queries of the PCPP is only two, but symbols of the oracle proof belong are in a large alphabet  $\mathbb{F}_q^d$ . Similarly, restrictions to affine subspaces of higher dimensions have also been considered, such as the plane-versus-plane test [RS97, MR08] and cube-versus-cube test [BDN17]. The number of field elements needed to be queried is at least linear in  $d$ .

Most results apply to polynomials over fields that are larger than the degree bound  $d$ . The local testability of Reed-Muller codes when the degree is larger than the field size has been studied in [AKK<sup>+</sup>03, AKK<sup>+</sup>05, JPRZ04, KR04]. Aformentioned results show that generalized Reed-Muller codes are locally testable, and query complexity increases as the size of the field decreases.

Note however all the above constructions do not apply to the setting we consider where the function  $f$  has domain  $L^m$  where  $L$  is strictly contained in  $\mathbb{F}_q$ . Indeed, in such case, the notion of affine subspace does not exist.

By working in the IOPP model, we are able to construct a low-degree test for total degree with strictly linear oracle proof length which can be generated in linear time and admit logarithmic query complexity and verification time. As mentioned above, previous works require the verifier to make a number of queries which is at least linear in  $d$ . Moreover, the size of the oracle proof [RS92] is polynomial in  $q^m$ . In order to further reduce the proof size, constructions using a smaller subset of lines have been investigated [GS02, BSSVW03, MR08]. However, such constructions do not achieve a strictly linear oracle proof length, but only proofs of almost linear size. Needless to say that proof length is a lower bound on prover running time.

## 2 Definitions and notations

### 2.1 Notations

Throughout this paper, we denote by  $\mathbb{F}_q$  the finite field of size  $q$  and by  $\mathbb{F}_q^\times$  the multiplicative group of  $\mathbb{F}_q$ . The multiplicative subgroup generated by an element  $\omega \in \mathbb{F}_q^\times$  will be denoted  $\langle \omega \rangle$ . The set of functions with domain  $D$  and values in  $\mathbb{F}_q$  is denoted by  $\mathbb{F}_q^D$ .

We use the notation  $[a .. b]$  for the set of integers  $\{a, a + 1, \dots, b\}$ . Let  $m \geq 1$  be an integer.

Vectors are written in bold, and for two tuples  $\mathbf{x} = (x_1, \dots, x_m)$  and  $\mathbf{u} = (u_1, \dots, u_m)$ ,  $\mathbf{x}^{\mathbf{u}}$  refers to  $\mathbf{x}^{\mathbf{u}} := x_1^{u_1} \cdots x_m^{u_m}$ . We use the notation  $\mathbf{X} = (X_1, \dots, X_m)$ , and  $\mathbb{F}_q[\mathbf{X}]$  refers to the ring of polynomials in the indeterminates  $X_1, \dots, X_m$ . For a multivariate polynomial  $P \in \mathbb{F}_q[\mathbf{X}]$ , we denote by  $\deg P$  the total degree of  $P$  and  $\deg_{X_j} P$  the individual degree of  $P$  with respect to the indeterminate  $X_j$ .

The Hamming weight  $w_H(\mathbf{u})$  of a vector  $\mathbf{u} \in \mathbb{F}_q^n$  is the number of non-zero symbols of  $\mathbf{u}$ . We denote by  $\Delta : \mathbb{F}_q^n \times \mathbb{F}_q^n \rightarrow [0, 1]$  the relative Hamming distance over  $\mathbb{F}_q$ ; namely for  $\mathbf{u}, \mathbf{u}' \in \mathbb{F}_q^n$ ,  $\Delta(\mathbf{u}, \mathbf{u}')$  equals the ratio of coordinates in which they differ. A code is any subset of  $\mathbb{F}_q^n$ , and a linear code is a  $\mathbb{F}_q$ -linear subspace of  $\mathbb{F}_q^n$ . Given  $\mathbf{u} \in \mathbb{F}_q^n$  and a code  $C \subseteq \mathbb{F}_q^n$ , we define  $\Delta(\mathbf{u}, C)$  to be the minimal distance between  $\mathbf{u}$  and any codeword of  $C$ . If  $\Delta(\mathbf{u}, C) > \delta$ , we say that  $\mathbf{u}$  is  $\delta$ -far from  $C$ , otherwise  $\mathbf{u}$  is  $\delta$ -close to  $C$ . We will consider *evaluation codes*. In this setting, we view codewords as functions in  $\mathbb{F}_q^D$ , and for  $f \in C$  and  $x \in D$ ,  $f(x)$  naturally denotes the  $x$ -entry of the codeword  $f$ . Henceforth, the term code will always refer to a linear code.

## 2.2 Tensor product of Reed-Solomon codes

Given two linear codes  $C_1 \subseteq \mathbb{F}_q^{n_1}$  and  $C_2 \subseteq \mathbb{F}_q^{n_2}$ , a matrix  $M \in \mathbb{F}_q^{n_2 \times n_1}$  belongs to the tensor product code  $C_2 \otimes C_1$  if and only if each row of  $M$  belongs to  $C_1$  and each column of  $M$  belongs to  $C_2$ . For  $m \geq 1$  and a code  $C \subseteq \mathbb{F}_q^n$ , we write  $C^{\otimes m}$  for the  $m$ -wise tensor product of  $C$ , where  $C^{\otimes m}$  is inductively defined by  $C^1 = C$  and  $C^{\otimes m} = C^{\otimes m-1} \otimes C$  for  $m > 1$ .

**Definition 2** (Reed-Solomon code). *Given  $L \subseteq \mathbb{F}_q$  and  $k \leq |L|$ , we denote by  $\text{RS}[\mathbb{F}_q, L, k]$  the Reed-Solomon (RS) code over alphabet  $\mathbb{F}_q$  defined by*

$$\text{RS}[\mathbb{F}_q, L, k] := \{f \in \mathbb{F}_q^L \mid \exists P \in \mathbb{F}_q[X], \deg P < k \text{ s.t. } \forall x \in L, f(x) = P(x)\}.$$

The code  $\text{RS}[\mathbb{F}_q, L, k]$  is a linear code of blocklength  $|L|$ , dimension  $k$ , rate  $\rho = \frac{k}{|L|}$  and relative minimum distance  $\lambda = 1 - \frac{k-1}{|L|}$ .

The tensor product of Reed-Solomon codes admits the following alternative definition.

**Definition 3** (Tensor product of Reed-Solomon code). *Given  $L \subset \mathbb{F}_q$ , and  $m, k \geq 1$ , such that  $k \leq |L|$ , we denote by  $(\text{RS}[\mathbb{F}_q, L, k])^{\otimes m}$  the  $m$ -wise tensor product of the code  $\text{RS}[\mathbb{F}_q, L, k]$ . Equivalently, the  $(\text{RS}[\mathbb{F}_q, L, k])^{\otimes m}$  can be defined as follows*

$$(\text{RS}[\mathbb{F}_q, L, k])^{\otimes m} := \{f \in \mathbb{F}_q^{L^m} \mid \exists P \in \mathbb{F}_q[\mathbf{X}], \deg_{X_i} P < k, i \in [1 \dots m], \text{ such that } \forall \mathbf{x} \in L, f(\mathbf{x}) = P(\mathbf{x})\}. \quad (1)$$

The tensor product code  $(\text{RS}[\mathbb{F}_q, L, k])^{\otimes m}$  has length  $|L|^m$ , dimension  $k^m$ , rate  $\left(\frac{k}{|L|}\right)^m$  and relative distance  $\left(1 - \frac{k-1}{|L|}\right)^m$ .

## 2.3 Short Reed-Muller codes

Reed-Muller codes consist of evaluation of multivariate polynomials with coefficients in  $\mathbb{F}_q$  of bounded total degree. The classical definition of (generalized) Reed-Muller codes involves evaluations over the whole finite field. We introduce here codes whose support is  $L^m \subset \mathbb{F}_q^m$ , where  $L$  may be much smaller than  $\mathbb{F}_q$ . This is an easy generalization, and we call these codes *short Reed-Muller codes*.

**Definition 4** (Short Reed-Muller code). A short Reed-Muller code with support  $L^m \subset \mathbb{F}_q^m$  is defined as follows

$$\text{SRM}[\mathbb{F}_q, L, m, k] := \{f \in \mathbb{F}_q^{L^m} \mid \exists P \in \mathbb{F}_q[\mathbf{X}], \deg P < k \text{ s.t. } \forall \mathbf{x} \in L^m, f(\mathbf{x}) = P(\mathbf{x})\}.$$

If  $k \leq |L|$ , the evaluation map from the space of multivariate polynomials of total degree less than  $k$  to the space of functions  $\mathbb{F}_q^{L^m}$  is injective, thus the dimension of  $\text{SRM}[\mathbb{F}_q, L, m, k]$  is  $\binom{m+k-1}{m}$ . A bound on the minimum distance of  $\text{SRM}[\mathbb{F}_q, L, m, k]$  follows from the Schwartz-Zippel lemma [Zip79, Sch80], which states that any non-zero multivariate polynomial  $P \in \mathbb{F}_q[\mathbf{X}]$  of total degree less than  $q$  cannot vanish in more than  $\frac{\deg P}{|L|}$  fraction of  $L^m$ . The code  $\text{SRM}[\mathbb{F}_q, L, m, k]$  has length  $|L^m|$ , rate  $\binom{m+k-1}{m} |L|^{-m}$  and relative distance at least  $1 - \frac{k-1}{|L|}$ .

**Remark 1.** The setting where the support  $L^m \subset \mathbb{F}_q^m$  with  $|L| \ll |\mathbb{F}_q|$  is not commonly encountered in coding theory. We introduce the non-standard term short Reed-Muller codes to emphasize this fact. Notice that, strictly speaking, short Reed-Muller codes correspond to punctured codes, and not shortened codes.

### 3 Generic interactive oracle proof of proximity based on folding operators

Given  $m$  a positive integer and  $L \subset \mathbb{F}_q$ , the aim of this section is to give an abstract analysis of a generic construction of an IOPP for an evaluation code  $C \subset \{L^m \rightarrow \mathbb{F}_q\}$ . The protocol presented in Section 3.2 can be seen as an abstract formalization of the IOPP for Reed-Solomon codes of [BBHR18], which has been subsequently generalized to algebraic-geometry codes [BN20]. The framework proposed here handles codes composed by not only functions of  $m = 1$  variable, but also multivariate ones.

In this section, we assume that one can define a sequence of codes  $(C_i)_{0 \leq i \leq r}$  for some integer  $r$ , where, starting from  $C_0 := C$ , each code  $C_i$  is a subset of functions  $L_i^m \rightarrow \mathbb{F}_q$  and each  $L_i \subset \mathbb{F}_q$  satisfy the following. For any  $i \in [0 \dots r-1]$ , assume there exists a map  $\pi_i : \mathbb{F}_q^m \rightarrow \mathbb{F}_q^m$  such that  $\pi_i(L_i^m) = L_{i+1}^m$  which is  $l_i$ -to-1 on  $L_i^m$  for a positive integer  $l_i$ . In particular,  $|L_{i+1}| = \frac{|L_i|}{l_i}$ . For any  $\mathbf{y} \in L_{i+1}^m$ , we will denote  $S_{\mathbf{y}} := \pi_i^{-1}(\{\mathbf{y}\})$  the set of the  $l_i^m$  preimages of  $\mathbf{y}$  by the function  $\pi_i$ .

The generic IOPP relies on the existence of a family of folding operators for each code  $C_i$ , as defined next.

#### 3.1 Folding operators

We benefit from the relations between the evaluation domains to iteratively reduce the proximity test to the code  $C$  to a much simpler code  $C_r$ . To do so, we fix once and for all a positive integer  $t$  and for each  $i \in [0 \dots r-1]$ , we define a family of linear operators  $\mathbf{Fold}[\cdot, \mathbf{p}] : \mathbb{F}_q^{L_i^m} \rightarrow \mathbb{F}_q^{L_{i+1}^m}$  parametrized by  $\mathbf{p} \in (\mathbb{F}_q^m)^t$ , called *folding operators*. These operators are designed to “compress” functions on  $L_i^m$  into functions on  $L_{i+1}^m$  and feature nice properties with respect to the evaluation codes  $C_i$  and  $C_{i+1}$ .

**Definition 5** (Folding operator). A folding operator for the code  $C_i$  is a map  $\mathbf{Fold}[\cdot, \cdot] : \mathbb{F}_q^{L_i^m} \times (\mathbb{F}_q^m)^t \rightarrow \mathbb{F}_q^{L_{i+1}^m}$  satisfying the following properties.

1. (Completeness) For any  $\mathbf{p} \in (\mathbb{F}_q^m)^t$ ,  $\mathbf{Fold}[C_i, \mathbf{p}] \subseteq C_{i+1}$ .



2. (Locality) For any function  $f : L_i^m \rightarrow \mathbb{F}_q$ ,  $\mathbf{p} \in (\mathbb{F}_q^m)^t$  and  $\mathbf{y} \in L_{i+1}^m$ , one can compute  $\mathbf{Fold}[f, \mathbf{p}](\mathbf{y})$  by making  $l_i$  queries to the function  $f$ .

To ensure soundness of the IOPP based on folding, we will also require that a folding operator preserves the relative distance. Namely, if a function  $f : L_i^m \rightarrow \mathbb{F}_q$  is far from the code  $C_i$ , we expect the folding of the function  $f$  to be far from the code  $C_{i+1}$  with high probability over  $\mathbf{p} \in (\mathbb{F}_q^m)^t$ . For soundness analysis, we express the distance preservation property in terms of weighted agreements instead of relative Hamming distance.

**Definition 6** (Weighted agreement). For any function of weights  $\phi : D \rightarrow [0, 1]$ , we define the  $\phi$ -agreement of  $u, v \in \mathbb{F}_q^D$ , denoted  $\mu_\phi(u, v)$ , as follows:

$$\mu_\phi(u, v) := \frac{1}{|D|} \sum_{\substack{\mathbf{x} \in D \\ u(\mathbf{x})=v(\mathbf{x})}} \phi(\mathbf{x}).$$

Moreover, given  $C \subset \mathbb{F}_q^D$  and  $u \in \mathbb{F}_q^D$ , we define the  $\phi$ -agreement of  $u$  with  $C$ , denoted  $\mu_\phi(u, C)$ , as

$$\mu_\phi(u, C) := \max_{v \in C} \mu_\phi(u, v).$$

**Definition 7** (Distance preservation). Let  $\lambda_i$  be the minimum relative distance of  $C_i$ . Let us consider a function  $\nu_{q,m} : (0, 1) \rightarrow [0, 1]$  and a function  $\gamma : (0, 1) \times [0, 1] \rightarrow [0, 1]$ . We say that a folding operator  $\mathbf{Fold}[\cdot, \cdot]$  satisfies distance preservation if, for any functions of weights  $\phi_i : L_i^m \rightarrow [0, 1]$  and  $\phi_{i+1} : L_{i+1}^m \rightarrow [0, 1]$  such that

$$\forall \mathbf{y} \in L_{i+1}^m, \phi_{i+1}(\mathbf{y}) \geq \frac{1}{l_i} \sum_{\mathbf{x} \in \pi_i^{-1}(\mathbf{y})} \phi_i(\mathbf{x}), \quad (2)$$

any  $\varepsilon \in (0, 1)$ , any  $\delta \in (0, \gamma(\varepsilon, \lambda_i))$  and any function  $f : L_i^m \rightarrow \mathbb{F}_q$  of  $\phi_i$ -agreement  $\mu_{\phi_i}(f, C_i) < 1 - \delta$ , we have

$$\Pr_{\mathbf{p} \in (\mathbb{F}_q^m)^t} [\mu_{\phi_{i+1}}(\mathbf{Fold}[f, \mathbf{p}], C_{i+1}) > 1 - \delta + m\varepsilon] < \nu_{q,m}(\varepsilon).$$

### 3.2 Generic IOPP to a code $C$ based on folding

Now we describe a generic way of constructing a public-coin IOPP to test proximity to a code  $C \subseteq \mathbb{F}_q^{L^m}$  using folding operators.

Taking  $C_0 = C$  and  $L_0 = L$ , we consider a sequence of codes  $(C_i)$  with a family of folding operators defined as per Section 3.1. As in the FRI protocol [BBHR18], our protocol is divided into two phases. The interactive phase is referred to as COMMIT phase, while the non-interactive one is named QUERY phase.

The COMMIT phase is an interaction over  $r$  rounds between a prover  $\mathcal{P}$  and a verifier  $\mathcal{V}$ . At each round  $i$ , the verifier samples a random element  $\mathbf{p}_i \in (\mathbb{F}_q^m)^t$ . The prover answers with an oracle function  $f_{i+1} : L_i^m \rightarrow \mathbb{F}_q$ , which is expected to coincide with  $\mathbf{Fold}[f_i, \mathbf{p}_i]$ . An honest prover  $\mathcal{P}$  computes the values taken by the function  $\mathbf{Fold}[f_i, \mathbf{p}_i]$  on  $L_{i+1}^m$  by leveraging the local property of the folding operator (Definition 5).

During the QUERY phase, the task of  $\mathcal{V}$  is to check that each pair of oracle functions  $(f_i, f_{i+1})$  is consistent. The standard idea is to test whether the equality

$$f_{i+1}(\mathbf{y}) = \mathbf{Fold}[f_i, \mathbf{p}_i](\mathbf{y}) \quad (3)$$

holds at a random point  $\mathbf{y}$ . Thanks to the local property of the folding operator,  $\mathcal{V}$  only needs to make  $l_i^m$  queries  $f_i$  and one to  $f_{i+1}$ . As in [BBHR18], we call this step of verification a *round consistency test*. The verifier begins by sampling uniformly at random  $\mathbf{y}_0 \in L_0^m$  and once this is done, all the locations of the round consistency tests below the current **query test** are determined. More specifically, for each  $i$ ,  $\mathcal{V}$  defines  $\mathbf{y}_{i+1} := \pi_i(\mathbf{y}_i)$  to be the point where Equation (3) is checked. Through this process, the round consistency tests are correlated to improve soundness. Such a **query test** can be seen as a *global* consistency test, similar to the one run by the FRI protocol. As a final test, the verifier checks membership of the oracle function  $f_r$  to the last code  $C_r$ .

**Remark 2.** Depending on the evaluation codes considered, it may be convenient to adapt the final round as follows. During the last round of the **COMMIT** phase, instead of sending a codeword  $f_r \in C_r$ , an honest  $\mathcal{P}$  may “unencodes”  $f_r$ , meaning he retrieves a word  $w_r$  from the messages space of  $C_r$  whose encoding leads to  $f_r \in C_r$ . Since  $C_r$  is an evaluation code, the message space of  $C_r$  is a space of functions  $M$  with the evaluation domain of  $C_r$ . Prover  $\mathcal{P}$  sends  $k_r$  message symbols to represent  $w_r$ , where  $k_r$  refers to the dimension of  $C_r$ . In that case, the verifier no longer needs to run a membership test to the code  $C_r$  during the **QUERY** phase. Instead,  $\mathcal{V}$  computes  $f_r(\mathbf{y}_r) = w_r(\mathbf{y}_r)$  by herself, and checks that this value is equal to  $\mathbf{Fold}[f_{r-1}, \mathbf{p}_{r-1}](\mathbf{y}_r)$ . This variant of the protocol is the one presented in the FRI protocol [BBHR18] for Reed-Solomon codes (there,  $w_r$  is a polynomial function of bounded degree). It also appears in the AG-IOPP on Kummer curves proposed in [BN20].

Let us consider a function  $\nu_{q,m} : (0, 1) \rightarrow [0, 1]$ , and a function  $\gamma : (0, 1) \times [0, 1] \rightarrow [0, 1]$  which is strictly increasing with respect to the second variable.

**Theorem 1.** Let  $(C_i)_{0 \leq i \leq r}$  be a sequence of codes such that there exists a family of folding operators for each code  $C_i$  satisfying Definitions 5 and 7. The  $r$ -rounds IOPP system  $(\mathcal{P}, \mathcal{V})$  for the code  $C = C_0$  described in Figure 2 is public-coin and fulfills the following properties:

**Perfect completeness:** If  $f \in C$  and if the oracles  $f_1, \dots, f_r$  are computed by an honest prover  $\mathcal{P}$ , then  $\mathcal{V}$  outputs *accept* with probability 1.

**Soundness:** Assume  $f : L^m \rightarrow \mathbb{F}_q$  is  $\delta$ -far from  $C$ . For any  $\varepsilon \in (0, 1)$  and any unbounded prover  $\mathcal{P}^*$ , the verifier  $\mathcal{V}$  outputs *accept* after  $\alpha$  repetitions of the **QUERY** phase with probability at most

$$r\nu_{q,m}(\varepsilon) + (1 - \min(\delta, \gamma(\varepsilon, \lambda)) + r\varepsilon)^\alpha,$$

where  $\lambda$  denotes the smallest relative minimum distance of the codes  $C_i$ ,  $i \in [0 \dots r]$ .

*Proof.* (*Perfect completeness*) Assume that  $f_0 \in C_0$ . An honest prover who follows the prescription of the **COMMIT** phase will make the round consistency tests pass with probability 1 for all rounds  $i$ . By completeness of the folding operator for every round  $i$ , we have  $f_r \in C_r$ . Therefore, the final test also passes. Thus, the verifier always accepts.

(*Soundness*) Our analysis relies on techniques of proofs from [BGKS20]. A similar analysis appears in [BN20]. We perform our analysis for  $\alpha = 1$  repetition of the **query test**. We observe that the soundness error for  $\alpha > 1$  directly follows from this case. Let  $(f_i)_{1 \leq i \leq r}$  be the output of the **COMMIT** phase and  $(\mathbf{y}_i)_{1 \leq i \leq r}$  be the query points selected for the **QUERY** phase. The verifier accepts if both

1. for all  $i \in [0 \dots r - 1]$ ,  $f_{i+1}(\mathbf{y}_{i+1}) = \mathbf{Fold}[f_i, \mathbf{p}_i](\mathbf{y}_{i+1})$ ,
2.  $f_r \in C_r$ .

Observe that if  $f_r \notin C_r$ , the verifier rejects with probability 1, therefore we continue the analysis assuming  $f_r \in C_r$ .

**Input common to Prover and Verifier:**

- $m$  a number of variables,
  - $r$  a number of rounds,
  - $(C_i)_{0 \leq i \leq r}$  a sequence of codes.
- 

COMMIT Phase  
(interactive)

**Prover's input:**

- $f = f_0 : L_0^m \rightarrow \mathbb{F}_q$ .

**Protocol:**

1. For each round  $i$  from 0 to  $r - 1$  :
  - (a) **Verifier**  $\mathcal{V}$  picks uniformly at random an element  $\mathbf{p}_i \in (\mathbb{F}_q^m)^t$ ;
  - (b) **Verifier**  $\mathcal{V}$  sends  $\mathbf{p}_i$  to **Prover**  $\mathcal{P}$ ;
  - (c) An honest **Prover**  $\mathcal{P}$  computes **Fold**  $[f_i, \mathbf{p}_i] : L_{i+1}^m \rightarrow \mathbb{F}_q$

**Prover's output:**

- a sequence of oracle functions  $f_0 \in \mathbb{F}_q^{L_0^m}, \dots, f_r \in \mathbb{F}_q^{L_r^m}$ .
- 

QUERY Phase  
(run by  $\mathcal{V}$  only)

**Verifier's input:**

- $\mathbf{p}_0, \dots, \mathbf{p}_{r-1}$  the challenges sent during steps 1b of the COMMIT phase,
- oracle access to the **Prover's** output functions  $f_0 \in \mathbb{F}_q^{L_0^m}, \dots, f_r \in \mathbb{F}_q^{L_r^m}$ ,
- a repetition parameter  $\alpha$ .

**Output:** accept or reject.

**Protocol:**

1. Repeat  $\alpha$  times the following **query test**:
  - (a) Sample  $\mathbf{y}_0 \in L_0^m$  uniformly at random;
  - (b) For  $i = 0$  to  $r - 1$ :
    - i. Define  $\mathbf{y}_{i+1} \in L_{i+1}^m$  as  $\mathbf{y}_{i+1} = \pi_i(\mathbf{y}_i)$ ;
    - ii. Query  $f_i$  on  $S_{\mathbf{y}_{i+1}}$  of size  $l_i$  to compute **Fold**  $[f_i, \mathbf{p}_i](\mathbf{y}_{i+1})$ ;
    - iii. Query  $f_{i+1}(\mathbf{y}_{i+1})$ ;
    - iv. If  $f_{i+1}(\mathbf{y}_{i+1}) \neq \mathbf{Fold}[f_i, \mathbf{p}_i](\mathbf{y}_{i+1})$ , outputs **reject** (*Round consistency check*);
2. Outputs **accept** if and only if  $f_r \in C_r$  (*Final test*).

Figure 2: IOPP  $(\mathcal{P}, \mathcal{V})$  for a code  $C$  based on folding operators

**Coloring the graph induced by prover's oracles** Set  $G$  the  $(r+1)$ -layered graph with vertex set  $L_0^m \sqcup L_1^m \sqcup \dots \sqcup L_r^m$ . The edges of  $G$  consist in the couples  $(\mathbf{y}_i, \mathbf{y}_{i+1}) \in L_i^m \times L_{i+1}^m$  such that  $\pi_i(\mathbf{y}_i) = \mathbf{y}_{i+1}$ . For any edge of  $G$ , the vertex  $\mathbf{y}_{i+1}$  is called the *parent* of  $\mathbf{y}_i$ . Vertices sharing the same parent are said to be *siblings*. For any vertex within the last layer  $\mathbf{y}_r \in L_r^m$ , we denote by  $G|_{\mathbf{y}_r}$  the subgraph of  $G$  corresponding to the complete tree with root  $\mathbf{y}_r$ . Therefore the trees  $G|_{\mathbf{y}_r}$  are disjoint.

A query test starts by selecting a leaf  $\mathbf{y}_0 \in L_0^m$ , which belongs to a unique tree  $G|_{\mathbf{y}_r}$  for a certain  $\mathbf{y}_r \in L_r^m$ . The verifier queries one set of siblings at each layer  $i \in [0 \dots r-1]$  of  $G|_{\mathbf{y}_r}$ , whose union forms a subset of vertices of  $G$  that we call the *path from  $\mathbf{y}_0$  to  $\mathbf{y}_r$* . Note that a path to  $\mathbf{y}_r$  does not include  $\mathbf{y}_r$ .

We now color the vertices of  $G$  (except those in the last layer) according to their success in passing the round consistency test. For  $i \in [0 \dots r-1]$ , a vertex  $\mathbf{y}_i \in L_i^m$  is colored **green** if

$$f_{i+1}(\pi_i(\mathbf{y}_i)) = \mathbf{Fold}[f_i, \mathbf{p}_i](\pi_i(\mathbf{y}_i))$$

and colored **red** otherwise. Notice siblings have the same color. The verifier outputs **accept** if and only if every vertex along the queried path from  $\mathbf{y}_0$  to  $\mathbf{y}_r$  is **green**.

**Tracking agreement between  $f_i$  and the folding of  $f_{i-1}$**  Define  $\psi_0 : L_0^m \rightarrow [0, 1]$  such that  $\psi_0(\mathbf{x}) = 1$  if and only if  $\mathbf{x} \in L_0^m$  is green. For all  $i \in [1, r-1]$ , define function

$$\psi_i : L_i^m \rightarrow [0, 1]$$

such that  $\psi_i(\mathbf{x})$  is equal to the fraction of leaves  $\mathbf{x}_0 \in L_0^m$  for which the path from  $\mathbf{x}_0$  to  $\mathbf{x}$  contains only **green** vertices.

By construction, the probability  $\text{err}_{\text{query}}$  that the verifier accepts during the QUERY phase is given by

$$\text{err}_{\text{query}} = \frac{1}{|L_r^m|} \sum_{\mathbf{x} \in L_r^m} \psi_r(\mathbf{x}).$$

For  $i \in [0 \dots r-1]$ , let us set  $\mu_{f_i} := \mu_{\psi_i}(f_i, C_i)$ , where the  $\psi$ -agreement  $\mu_{\psi}$  is defined in Definition 6. Since  $f_r \in C_r$ , observe that

$$\text{err}_{\text{query}} = \mu_{f_r}. \tag{4}$$

For  $i \in [0 \dots r-1]$ , we define  $E_{i+1} \subseteq L_{i+1}^m$  to be the set of coordinates where  $f_{i+1}$  differs from  $\mathbf{Fold}[f_i, \mathbf{p}_i]$ , i.e.  $E_{i+1} := \{\mathbf{y} \in L_{i+1}^m \mid \forall \mathbf{x} \in S_{\mathbf{y}}, \mathbf{x} \text{ is red}\}$ .

Let us fix  $i \in [0 \dots r-1]$ . We aim to show that

$$\mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], C_{i+1}) \geq \mu_{\psi_{i+1}}(f_{i+1}, C_{i+1}).$$

Let  $v \in C_{i+1}$  such that  $\mu_{\psi_{i+1}}(f_{i+1}, v) = \mu_{\psi_{i+1}}(f_{i+1}, C_{i+1})$  (breaking ties arbitrarily). Since for any  $\mathbf{y} \in E_{i+1}$ ,  $\psi_{i+1}(\mathbf{y}) = 0$ , we can write

$$\mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], v) = \frac{1}{|L_{i+1}^m|} \sum_{\substack{\mathbf{y} \in L_{i+1}^m \setminus E_{i+1} \\ \mathbf{Fold}[f_i, \mathbf{p}_i](\mathbf{y}) = v(\mathbf{y})}} \psi_{i+1}(\mathbf{y})$$

and

$$\mu_{\psi_{i+1}}(f_{i+1}, v) = \frac{1}{|L_{i+1}^m|} \sum_{\substack{\mathbf{y} \in L_{i+1}^m \setminus E_{i+1} \\ f_{i+1}(\mathbf{y}) = v(\mathbf{y})}} \psi_{i+1}(\mathbf{y}).$$

But  $\mathbf{Fold}[f_i, \mathbf{p}_i]$  and  $f_{i+1}$  coincide on the set  $L_{i+1}^m \setminus E_{i+1}$ , hence

$$\mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], v) = \mu_{\psi_{i+1}}(f_{i+1}, v).$$

Moreover, we have  $\mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], C_{i+1}) \geq \mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], v)$  by definition of the  $\psi_{i+1}$ -agreement. Thus,

$$\mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], C_{i+1}) \geq \mu_{\psi_{i+1}}(f_{i+1}, C_{i+1}). \quad (5)$$

Let  $\varepsilon \in (0, 1)$  and  $\delta_i < \min(1 - \mu_{f_i}, \gamma(\varepsilon, \lambda_i))$ . Observe that

$$\psi_{i+1}(\mathbf{y}) = \begin{cases} 0 & \text{if } \mathbf{y} \in E_{i+1}, \\ \frac{1}{l_i} \sum_{\mathbf{x} \in S_{\mathbf{y}}} \psi_i(\mathbf{x}) & \text{if } \mathbf{y} \in L_{i+1}^m \setminus E_{i+1}. \end{cases}$$

Thus, the functions  $\psi_i$  satisfy (2):

$$\forall \mathbf{y} \in L_{i+1}^m, \psi_{i+1}(\mathbf{y}) \geq \frac{1}{l_i} \sum_{\mathbf{x} \in S_{\mathbf{y}}} \psi_i(\mathbf{x}).$$

Since the folding operators satisfy distance preservation (Definition 7), we have for all  $i \in [0 \dots r - 1]$

$$\Pr_{\mathbf{p}_i \in (\mathbb{F}_q^m)^t} [\mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], C_{i+1}) > 1 - \delta_i + m\varepsilon] \leq \nu_{q,m}(\varepsilon),$$

which yields

$$\Pr_{\mathbf{p}_i \in (\mathbb{F}_q^m)^t} [\mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], C_{i+1}) > \max(\mu_{f_i}, 1 - \gamma(\varepsilon, \lambda_i)) + m\varepsilon] \leq \nu_{q,m}(\varepsilon).$$

Let  $\lambda = \min_i(\lambda_i)$ . As the function  $\gamma(\varepsilon, \cdot)$  is strictly increasing, we have

$$\Pr_{\mathbf{p}_i \in (\mathbb{F}_q^m)^t} [\mu_{\psi_{i+1}}(\mathbf{Fold}[f_i, \mathbf{p}_i], C_{i+1}) > \max(\mu_{f_i}, 1 - \gamma(\varepsilon, \lambda)) + m\varepsilon] \leq \nu_{q,m}(\varepsilon).$$

Recalling (5), we deduce that

$$\Pr_{\mathbf{p}_i \in (\mathbb{F}_q^m)^t} [\mu_{f_{i+1}} > \max(\mu_{f_i}, 1 - \gamma(\varepsilon, \lambda)) + m\varepsilon] \leq \nu_{q,m}(\varepsilon).$$

Thus, the event that for all  $i \in [0 \dots r - 1]$ ,  $\mu_{f_{i+1}} \leq \max(\mu_{f_i}, 1 - \gamma(\varepsilon, \lambda)) + m\varepsilon$  occurs with probability at least  $1 - r\nu_{q,m}(\varepsilon)$ . If this event occurs, then  $\mu_{f_r} \leq \max(\mu_{f_0}, 1 - \gamma(\varepsilon, \lambda)) + rm\varepsilon$ . Therefore

$$\Pr_{\mathbf{p}_0, \dots, \mathbf{p}_{r-1} \in (\mathbb{F}_q^m)^t} [\mu_{f_r} \leq \max(\mu_{f_0}, 1 - \gamma(\varepsilon, \lambda)) + rm\varepsilon] \geq 1 - r\nu_{q,m}(\varepsilon).$$

Recall that  $\mu_{f_0} \leq 1 - \Delta(f_0, C_0) < 1 - \delta$  and  $\text{err}_{\text{query}} = \mu_{f_r}$ . Set  $\text{err}_{\text{commit}} := r\nu_{q,m}(\varepsilon)$ . We deduce that with probability at least  $1 - \text{err}_{\text{commit}}$  over the randomness of the verifier during the COMMIT phase, the verifier accepts with probability at most

$$\begin{aligned} \text{err}_{\text{query}} = \mu_{f_r} &\leq \max(\mu_{f_0}, 1 - \gamma(\varepsilon, \lambda)) + rm\varepsilon \\ &< 1 - \min(\delta, \gamma(\varepsilon, \lambda)) + rm\varepsilon. \end{aligned}$$

□

**Remark 3.** An analogous proof yield the same completeness and soundness when applied to the variant of the protocol described in Remark 2.

## 4 Preliminaries about multivariate polynomials

### 4.1 Low-degree extensions

To benefit from the algebraic structure of an evaluation code  $C \subset \mathbb{F}_q^D$ , it is classical to recover a polynomial which coincides with  $f$  on  $D$  for any  $f \in C$ . We choose such a polynomial to have low degree with respect to the size of the domain  $D$ , when  $D$  is a cartesian product.

**Proposition 1** (Low-degree extension ([BFLS91])). *Let  $H_1, \dots, H_m \subseteq \mathbb{F}_q$  and let  $f : H_1 \times \dots \times H_m \rightarrow \mathbb{F}_q$  be a function. Then there exists a unique polynomial  $\hat{f}$  in  $m$  variables over  $\mathbb{F}_q$  such that :*

1.  $\hat{f}$  has degree  $\deg_{X_i} \hat{f} < |H_i|$  in its  $i$ -th variable,
2.  $\hat{f}$  agrees with  $f$  on  $H_1 \times \dots \times H_m$ .

The polynomial  $\hat{f}$  is referred to as the low-degree extension of the function  $f$  (with respect to  $\mathbb{F}_q$  and  $H_1, \dots, H_m$ ).

*Proof.* For  $H \subset \mathbb{F}_q$  and  $h \in H$ , denote  $L_{H,h}(X) := \prod_{k \in H \setminus \{h\}} \frac{X-k}{h-k}$  the Lagrange polynomial. The existence follows from the observation that the polynomial defined by

$$\sum_{\mathbf{h} \in H_1 \times \dots \times H_m} f(\mathbf{h}) \prod_{j=1}^m L_{H_j, h_j}(X_j)$$

has degree less than  $|H_j|$  in each variable and agrees with  $f$  on  $H_1 \times \dots \times H_m$ . An easy induction on  $m$  leads to uniqueness.  $\square$

The arithmetic complexity of solving the interpolation problem of computing the coefficients of the low-degree extension of a function  $f : H_1 \times \dots \times H_m \rightarrow \mathbb{F}_q$  appears in [Pan94] for general subsets  $H_1, \dots, H_m \subset \mathbb{F}_q$ . In our work, we will be specifically interested in the cost of interpolating and evaluating low-degree extensions of a function defined on a grid of size  $2^m$ .

**Definition 8.** A multilinear polynomial is a multivariate polynomial whose degree in each variable is at most one.

**Lemma 1** (Multilinear interpolation ([Pan94])). *Let  $H_1, \dots, H_m \subset \mathbb{F}_q$  of size 2 and let  $f : H_1 \times \dots \times H_m \rightarrow \mathbb{F}_q$  be a function. The low-degree extension of  $f$  is a multilinear polynomial  $\hat{f} \in \mathbb{F}_q[\mathbf{X}]$ . The number of operations required to interpolate  $\hat{f}$  is at most  $m2^m$  arithmetic operations.*

**Lemma 2** (Efficient multilinear extension). *Let  $H_1, \dots, H_m \subset \mathbb{F}_q$  of size 2 and let  $f : H_1 \times \dots \times H_m \rightarrow \mathbb{F}_q$  be a function. The low-degree extension of  $f$  is a multilinear polynomial  $\hat{f} \in \mathbb{F}_q[\mathbf{X}]$  and, given  $\mathbf{p} \in \mathbb{F}_q^m$ , evaluating  $\hat{f}$  at  $\mathbf{p}$  can be done in less than  $4(2^m + m)$  arithmetic operations.*

*Proof.* For any  $\mathbf{h} = (h_1, \dots, h_m) \in H_1 \times \dots \times H_m$ , define  $L_{\mathbf{h}}(\mathbf{X}) := \prod_{j=1}^m L_{H_j, h_j}(X_j)$ . For any  $\mathbf{p} = (p_1, \dots, p_m) \in \mathbb{F}_q^m$ , we have

$$\hat{f}(\mathbf{p}) = \sum_{\mathbf{h} \in H_1 \times \dots \times H_m} f(\mathbf{h}) L_{\mathbf{h}}(\mathbf{p}). \tag{6}$$

As suggested by [VSBW13] regarding multilinear extensions over the boolean hypercube, we observe that  $(L_{\mathbf{h}}(\mathbf{p}))_{\mathbf{h} \in H_1 \times \dots \times H_m}$  can be computed in linear time and linear space using dynamic programming.

Notice that for all  $k \in [1 \dots m]$ ,

$$\prod_{j=1}^k L_{H_j, h_j}(p_j) = L_{H_k, h_k}(p_k) \prod_{j=1}^{k-1} L_{H_j, h_j}(p_j)$$

and  $\deg L_{H_k, h_k} = 1$ . Given a table of values containing  $\prod_{j=1}^{k-1} L_{H_j, h_j}(p_j)$  for all  $(h_1, \dots, h_{k-1}) \in H_1 \times \dots \times H_{k-1}$ , one can get the values  $\prod_{j=1}^k L_{H_j, h_j}(p_j)$  for all  $(h_1, \dots, h_k) \in H_1 \times \dots \times H_k$  by computing the couple of values  $(L_{H_k, h_k}(p_k))_{h_k \in H_k}$  using 2 additions and 2 divisions, and multiplying both of them by all the  $2^{k-1}$  precomputed values. In sum, this step requires  $2^k + 4$  operations. Thus, computing  $L_{\mathbf{h}}(\mathbf{p})$  for all  $\mathbf{h} \in H_1 \times \dots \times H_m$  takes  $\sum_{j=1}^m (2^j + 4) < 2 \cdot 2^m + 4m$  arithmetic operations. Finally, given the table of values of  $f$  and  $(L_{\mathbf{h}}(\mathbf{p}))_{\mathbf{h} \in H_1 \times \dots \times H_m}$ , computing the right-hand side of (6) takes  $2^m$  multiplications and  $(2^m - 1)$  additions.  $\square$

## 4.2 Multivariate polynomial decomposition

One efficient way to build folding operators on codes formed by evaluations of polynomials relies on some ingenious decompositions, as in [BS08, BBHR18]. This section gathers all the technical results about such decompositions and their properties.

**Lemma 3.** *Let  $R$  be an integral domain, and let  $q \in R[X]$  be a monic polynomial of degree  $l$ . For every  $f \in R[X]$  there exists a unique sequence of polynomials  $(f_u(X))_{0 \leq u \leq \lfloor \frac{\deg f}{l} \rfloor}$  such that*

$$f(X) = \sum_{u=0}^{\lfloor \deg f / l \rfloor} f_u(X) q(X)^u.$$

Furthermore,  $\deg f_u < l$ , for  $u \in [0 \dots \lfloor \deg f / l \rfloor]$ .

*Proof.* As in [BS08, Proposition 6.3], we consider the Euclidean division of  $f(X)$  by  $(Y - q(X))$  in the polynomial ring  $R[Y][X]$ , i.e. with respect to the  $X$  variable. Polynomial division by a monic polynomial over an integral domain shares the same properties as polynomial division over a field. There exists a unique pair of polynomials  $A, B \in R[X][Y]$  such that

$$f(X) = (Y - q(X))A(X, Y) + B(X, Y)$$

such that  $\deg_X B < \deg q$ . Writing  $B(X, Y) = \sum f_u(X) Y^u$ , with  $\deg f_u < \deg q$ , and evaluating the above identity at  $Y = q(X)$  gives  $f(X) = \sum f_u(X) q(X)^u$  as required, with appropriate degree bounds. The uniqueness of the decomposition follows from the one of the remainder  $B$  in the Euclidean division, as any other decomposition  $\sum_{u=0} f'_u(X) q(X)^u$  with the same degree bounds would induce another remainder  $\sum_{u=0} f'_u(X) Y^u \neq B$ .  $\square$

**Lemma 4.** *Let  $R$  be an integral domain, and let  $q \in R[X]$  be a monic polynomial of degree  $l$ . For every  $f \in R[\mathbf{X}]$  there exists a unique sequence  $(f_{\mathbf{u}})_{\mathbf{u} \in \mathbf{U}}$  of polynomials in  $R[\mathbf{X}]$  such that*

$$f(\mathbf{X}) = \sum_{\mathbf{u}=(u_1, \dots, u_m) \in \mathbf{U}} f_{\mathbf{u}}(X_1, \dots, X_m) q(X_1)^{u_1} \dots q(X_m)^{u_m}, \quad (7)$$

where  $\mathbf{U} = [0 \dots \lfloor \deg_{X_1} f / l \rfloor] \times \dots \times [0 \dots \lfloor \deg_{X_m} f / l \rfloor]$  and  $\deg_{X_i} f_{\mathbf{u}}(\mathbf{X}) < l$  for  $i \in [1 \dots m]$  and  $\mathbf{u} \in \mathbf{U}$ .

*Proof.* The proof is done by induction on the number  $m$  of indeterminates, the case  $m = 1$  being established in Lemma 3. Suppose the result holds for  $m - 1$  indeterminates and consider  $f(\mathbf{X})$  as a polynomial in  $R[X_1][X_2, \dots, X_m]$ . Since  $R[X_1]$  is an integral domain, we can apply the induction hypothesis, and there exists a unique sequence  $(f_{\mathbf{u}'}(X_1, X_2, \dots, X_m))_{\mathbf{u}' \in \mathbf{U}'}$   $\in R[X_1][X_2, \dots, X_m]$  such that

$$f(X_1, X_2, \dots, X_m) = \sum_{(u_2, \dots, u_m) \in \mathbf{U}' } f_{u_2, \dots, u_m}(X_1, X_2, \dots, X_m) q(X_2)^{u_2} \cdots q(X_m)^{u_m}$$

where  $\mathbf{U}' = [0 \dots \lfloor \deg_{X_2} f/l \rfloor] \times \cdots \times [0 \dots \lfloor \deg_{X_m} f/l \rfloor]$  and, for each  $i \in [2 \dots m]$ :

$$\deg_{X_i} f_{u_2, \dots, u_m}(X_1, X_2, \dots, X_m) < l.$$

Writing

$$f_{u_2, \dots, u_m} = \sum_{0 \leq u_2, \dots, u_m < l} g_{u_2, \dots, u_m}(X_1) X_2^{u_2} \cdots X_m^{u_m}$$

and applying Lemma 3 to each polynomial  $g_{u_2, \dots, u_m} \in R[X_1]$ , we obtain a unique sequence

$$(g_{u_1, u_2, \dots, u_m}(X_1))_{0 \leq u_1 \leq \lfloor (\deg_{X_1} f/l) \rfloor}$$

of polynomials in  $R[X_1]$  such that

$$g_{u_2, \dots, u_m}(X_1) = \sum_{u_1=0}^{\lfloor (\deg_{X_1} f/l) \rfloor} g_{u_1, u_2, \dots, u_m}(X_1) q(X_1)^{u_1}$$

and  $\deg g_{u_1, u_2, \dots, u_m}(X_1) < l$ . This gives

$$f_{u_2, \dots, u_m} = \sum_{0 \leq u_2, \dots, u_m < l} \sum_{u_1=0}^{\lfloor (\deg_{X_1} f/l) \rfloor} g_{u_1, u_2, \dots, u_m}(X_1) X_2^{u_2} \cdots X_m^{u_m} q(X_1)^{u_1},$$

which leads to the expected decomposition after collecting terms.  $\square$

**Proposition 2** (Multivariate decomposition). *Let  $R$  be an integral domain, and let  $q \in R[X]$  be a monic polynomial of degree  $l$ . For every  $f \in R[\mathbf{X}]$  there exists a unique sequence  $(g_e)_{e \in [0..l-1]^m}$  of polynomials in  $R[\mathbf{X}]$  such that*

$$f(\mathbf{X}) = \sum_{e \in [0..l-1]^m} \mathbf{X}^e g_e(q(X_1), \dots, q(X_m)), \quad (8)$$

and

- for all  $e \in [0 \dots l - 1]^m$  and  $j \in [1 \dots m]$ ,  $\deg_{X_j} g_e \leq \left\lfloor \frac{\deg_{X_j} f}{l} \right\rfloor$ ,
- for all  $e \in [0 \dots l - 1]^m$ ,  $\deg g_e \leq \left\lfloor \frac{\deg f - w_H(e)}{l} \right\rfloor$ .

*Proof.* We use the notation of Lemma 4. For each  $\mathbf{u} \in \mathbf{U}$ , writing each polynomial  $f_{\mathbf{u}}$  as  $f_{\mathbf{u}}(\mathbf{X}) = \sum_{e \in [0..l-1]^m} a_{\mathbf{u}, e} \mathbf{X}^e$ , Equation (7) becomes

$$\begin{aligned} f(\mathbf{X}) &= \sum_{\mathbf{u} \in \mathbf{U}} \sum_{e \in [0..l-1]^m} a_{\mathbf{u}, e} \mathbf{X}^e q(X_1)^{u_1} \cdots q(X_m)^{u_m}, \\ &= \sum_{e \in [0..l-1]^m} \mathbf{X}^e \sum_{\mathbf{u} \in \mathbf{U}} a_{\mathbf{u}, e} q(X_1)^{u_1} \cdots q(X_m)^{u_m}. \end{aligned}$$



For each  $\mathbf{e} \in [0 \dots l-1]^m$ , define  $g_{\mathbf{e}}(\mathbf{X}) = \sum_{\mathbf{u} \in \mathcal{U}} a_{\mathbf{u}, \mathbf{e}} \mathbf{X}^{\mathbf{u}}$ . We thus get the decomposition of Equation (8). The bounds for individual degrees of each  $g_{\mathbf{e}}$  comes from the definition of  $\mathcal{U}$ . Moreover, we have  $\deg f = \max_{\mathbf{e}} \{\deg(\mathbf{X}^{\mathbf{e}} g_{\mathbf{e}}(q(X_1), \dots, q(X_m)))\}$ , thus  $\deg f \geq w_H(\mathbf{e}) + l \deg g_{\mathbf{e}}$ .

The uniqueness of the sequence of polynomials  $(g_{\mathbf{e}})_{\mathbf{e}}$  follows from the one of the sequence of polynomials  $(f_{\mathbf{u}})_{\mathbf{u}}$ .  $\square$

## 5 Distance preservation for random multilinear combinations

In this section, we study a special case worst-case to average-case reduction of distance for linear subspaces. Several works looked at this question [RVW13, AHIV17, BKS18, BGKS20] for general linear subspaces, but we are interested in the following specific context. For  $\mathbf{u} = (u_{\mathbf{e}})_{\mathbf{e} \in \{0,1\}^m} \subset \mathbb{F}_q^D$ , and  $\mathbf{p} \in \mathbb{F}_q^m$ , we consider the set

$$S_{\mathbf{u}} := \left\{ \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{p}^{\mathbf{e}} u_{\mathbf{e}} \mid \mathbf{p} \in \mathbb{F}_q^m \right\}$$

of multilinear combinations of elements of  $\mathbf{u}$ . Given a linear code  $C \subset \mathbb{F}_q^D$ , we estimate the average-distance to  $C$  of an element  $u' \in S_{\mathbf{u}}$  compared to the maximum distance to  $C$  of a member  $u_{\mathbf{e}}$  from  $\mathbf{u}$ .

### 5.1 Hamming distance version

**Proposition 3.** *Let  $m$  be a positive integer. Let  $C \subset \mathbb{F}_q^D$  be a linear code of relative distance  $\lambda = \Delta(C)$ . Let  $\varepsilon, \delta > 0$  such that  $\varepsilon < 1/3$  and*

$$\delta < 1 - (1 - \lambda + \varepsilon)^{1/3}. \quad (9)$$

Let  $\mathbf{u} = (u_{\mathbf{e}})_{\mathbf{e} \in \{0,1\}^m}$  such that

$$\Pr_{\mathbf{p} \in \mathbb{F}_q^m} \left[ \Delta \left( \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{p}^{\mathbf{e}} u_{\mathbf{e}}, C \right) < \delta \right] \geq \frac{2m}{\varepsilon^2 q}. \quad (10)$$

Then there exist  $T \subset D$  and a family  $\mathbf{v} = (v_{\mathbf{e}})_{\mathbf{e} \in \{0,1\}^m} \in C^{2^m}$  such that

- $|T| \geq (1 - \delta - m\varepsilon) |D|$ ,
- for each  $\mathbf{e} \in \{0,1\}^m$ ,  $u_{\mathbf{e}|T} = v_{\mathbf{e}|T}$ .

*Proof.* We proceed by induction on the number of variables  $m$ . The case  $m = 1$  is dealt with in [BGKS20, Lemma 3.2]. Let us assume that the proposition is true for  $m - 1$  and prove that it also holds for  $m$ . For  $\mathbf{p} \in \mathbb{F}_q^m$ , we write  $\mathbf{p} = (\tilde{\mathbf{p}}, p_m)$ , with  $\tilde{\mathbf{p}} \in \mathbb{F}_q^{m-1}$  and  $p_m \in \mathbb{F}_q$ . Similarly, for  $\mathbf{e} \in \{0,1\}^m$ , we write  $\mathbf{e} = (\tilde{\mathbf{e}}, e_m)$ , with  $\tilde{\mathbf{e}} \in \{0,1\}^{m-1}$  and  $e_m \in \{0,1\}$ . Equation (10) gives

$$\Pr_{p_m \in \mathbb{F}_q} \left[ \Pr_{\tilde{\mathbf{p}} \in \mathbb{F}_q^{m-1}} \left[ \Delta \left( \sum_{\tilde{\mathbf{e}} \in \{0,1\}^{m-1}} \tilde{\mathbf{p}}^{\tilde{\mathbf{e}}} (u_{(\tilde{\mathbf{e}}, 0)} + p_m u_{(\tilde{\mathbf{e}}, 1)}), C \right) < \delta \right] \geq \frac{2(m-1)}{\varepsilon^2 q} \right] \geq \frac{2}{\varepsilon^2 q}.$$

For any  $z \in \mathbb{F}_q$ , we write  $u_{\tilde{e},z} = u_{(\tilde{e},0)} + zu_{(\tilde{e},1)}$ . Let  $A$  be the set

$$A = \left\{ z \in \mathbb{F}_q; \Pr_{\tilde{p} \in \mathbb{F}_q^{m-1}} \left[ \Delta \left( \sum_{\tilde{e} \in \{0,1\}^{m-1}} \tilde{p}^{\tilde{e}} u_{\tilde{e},z}, C \right) < \delta \right] \geq \frac{2(m-1)}{\varepsilon^2 q} \right\}.$$

By assumption,  $|A| \geq 2/\varepsilon^2$ . Moreover the inductive hypothesis implies that for each  $z \in A$ , there exist  $T_z \subset D$  and  $v_{\tilde{e},z} \in C$  such that

$$|T_z| \geq (1 - \delta - (m-1)\varepsilon) |D| \text{ and } u_{\tilde{e},z|T_z} = v_{\tilde{e},z|T_z} \text{ for all } \tilde{e} \in \{0,1\}^{m-1}.$$

We are now in a position where we can mimic the proof of [BGKS20].

Let us prove there exists a large subset  $A' \subset A$  such that for all  $\tilde{e} \in \{0,1\}^{m-1}$  and for all  $z \in A'$ ,  $v_{\tilde{e},z}$  depends linearly on  $z$ , *i.e.* there exists some  $v_{(\tilde{e},0)}, v_{(\tilde{e},1)} \in C$  such that  $v_{\tilde{e},z} = v_{(\tilde{e},0)} + zv_{(\tilde{e},1)}$ .

For  $z_0, z_1, z_2$ , picked uniformly and independently in  $A$  and  $y$  picked uniformly from  $D$ , we have

$$\begin{aligned} \mathbf{E}_{z_0, z_1, z_2} \left[ \frac{|T_{z_0} \cap T_{z_1} \cap T_{z_2}|}{|D|} \right] &= \mathbf{E}_{y, z_0, z_1, z_2} [\mathbf{1}_{y \in T_{z_0} \cap T_{z_1} \cap T_{z_2}}] \\ &= \mathbf{E}_y \left[ \mathbf{E}_z [\mathbf{1}_{y \in T_z}]^3 \right] \\ &\geq \mathbf{E}_{y, z} [\mathbf{1}_{y \in T_z}]^3 \\ &\geq (1 - \delta)^3 \\ &\geq 1 - \delta + \varepsilon. \end{aligned}$$

From this, one obtains:

$$\Pr_{z_0, z_1, z_2} [|T_{z_0} \cap T_{z_1} \cap T_{z_2}| \geq (1 - \delta) |D|] \geq \varepsilon.$$

The probability of  $z_0, z_1, z_2$  being all distinct is at least  $1 - \frac{3}{|A|}$ , which is greater than  $1 - \frac{\varepsilon}{2}$  since  $|A| \geq \frac{2}{\varepsilon^2} > \frac{6}{\varepsilon}$ . Thus, we get

$$\Pr_{z_0, z_1, z_2} [z_0, z_1, z_2 \text{ are all distinct and } |T_{z_0} \cap T_{z_1} \cap T_{z_2}| \geq (1 - \delta) |D|] \geq \varepsilon/2.$$

Consequently, there are distinct  $z_1$  and  $z_2$  such that

$$\Pr_{z_0} [|T_{z_0} \cap T_{z_1} \cap T_{z_2}| \geq (1 - \delta) |D|] \geq \varepsilon/2.$$

Fix  $z_0 \in \mathbb{F}_q$  such that  $|T_{z_0} \cap T_{z_1} \cap T_{z_2}| \geq (1 - \delta) |D|$  and set  $S = T_{z_0} \cap T_{z_1} \cap T_{z_2}$ . For each  $\tilde{e} \in \{0,1\}^{m-1}$ , the vectors

$$(z_0, u_{\tilde{e},z_0}), (z_1, u_{\tilde{e},z_1}), (z_2, u_{\tilde{e},z_2})$$

are collinear. Then their restrictions to  $S$ ,  $(z_i, u_{\tilde{e},z_i}|_S)$ , which coincide with  $(z_i, v_{\tilde{e},z_i}|_S)$  by definition of  $S$ , are also collinear. Since  $S$  is an information set of  $C$ , we can linearly map the vectors  $v_{\tilde{e},z_i}|_S$  to elements  $v_{\tilde{e},z_i}$  of the code  $C$ , which preserves collinearity. Therefore, the vectors  $v_{\tilde{e},z_i}$  ( $z = z_0, z_1, z_2$ ) all belong to a same line

$$\{v_{(\tilde{e},0)} + zv_{(\tilde{e},1)}; z \in \mathbb{F}_q\} \subset \mathbb{F}_q^D \text{ where } v_{(\tilde{e},0)}, v_{(\tilde{e},1)} \in C.$$

Set  $A' = \{z \in A \mid v_{\tilde{e},z} = v_{(\tilde{e},0)} + zv_{(\tilde{e},1)}\}$ . Then we have  $|A'| \geq \frac{\varepsilon}{2} |A| \geq \frac{1}{\varepsilon}$ . Now consider the set

$$T = \left\{ x \in D \mid \forall \tilde{e} \in \{0,1\}^{m-1}, u_{(\tilde{e},0)}(x) = v_{(\tilde{e},0)}(x) \text{ and } u_{(\tilde{e},1)}(x) = v_{(\tilde{e},1)}(x) \right\}.$$

For any  $x \in D \setminus T$ , there exists at most one  $z \in \mathbb{F}_q$  such that, for all  $\tilde{e} \in \{0, 1\}^{m-1}$ ,

$$u_{(\tilde{e},0)}(x) + zu_{(\tilde{e},1)}(x) = v_{(\tilde{e},0)}(x) + zv_{(\tilde{e},1)}(x).$$

For any  $z \in A'$ , for any  $\tilde{e} \in \{0, 1\}^{m-1}$ , we have

$$1 - \frac{|T_z|}{|D|} \geq \Delta_D(u_{\tilde{e},z}, v_{\tilde{e},z}).$$

We thus also have

$$\begin{aligned} 1 - \frac{|T_z|}{|D|} &\geq \mathbf{E}_{z \in A'} [\Delta_D(u_{\tilde{e},z}, v_{\tilde{e},z})] \\ &\geq \frac{|D \setminus x|}{|D|} \left(1 - \frac{1}{|A'|}\right) \\ &\geq \left(1 - \frac{|T|}{|D|}\right) (1 - \varepsilon) \\ &\geq 1 - \frac{|T|}{|D|} - \varepsilon \end{aligned}$$

Using  $|T_z| \geq (1 - \delta - (m-1)\varepsilon)|D|$ , and rearranging, we get  $|T| \geq (1 - \delta - m\varepsilon)|D|$ .  $\square$

## 5.2 Weighted agreement version

For soundness analysis, we need a variant of Proposition 3 stated in terms of weighted agreement. This technical result will be used to prove distance preservation properties in Section 7 and Section 8.

**Proposition 4.** *Let  $m$  be a positive integer. Let  $C \subset \mathbb{F}_q^D$  be a linear code of distance  $\lambda = \Delta(C)$ . Let  $\varepsilon, \delta > 0$  such that  $\varepsilon < 1/3$  and*

$$\delta < 1 - (1 - \lambda + \varepsilon)^{1/3}.$$

*For any weight function  $\phi : D \rightarrow [0, 1]$  and any  $\mathbf{u} = (u_e)_{e \in \{0,1\}^m}$  satisfying*

$$\Pr_{\mathbf{p} \in \mathbb{F}_q^m} \left[ \mu_\phi \left( \sum_{e \in \{0,1\}^m} \mathbf{p}^e u_e, C \right) > 1 - \delta \right] \geq \frac{2m}{\varepsilon^2 q}, \quad (11)$$

*there exist  $T \subset D$  and a family  $\mathbf{v} = (v_e)_{e \in \{0,1\}^m} \in C^{2^m}$  such that*

- $\sum_{x \in T} \phi(x) \geq (1 - \delta - m\varepsilon)|D|$ ,
- for each  $e \in \{0, 1\}^m$ ,  $u_e|_T = v_e|_T$ .

Before proving Proposition 4, we first state a variant of [BGKS20, Lemma 3.2]. The proof of Lemma 5 is relatively straightforward, based on the original proof of [BGKS20, Lemma 3.2]. We provide it in Appendix A for completeness.

**Lemma 5** ([BGKS20]). *Let  $C \subset \mathbb{F}_q^D$  be a linear code of distance  $\lambda = \Delta(C)$ . Let  $\varepsilon, \delta > 0$  such that  $\varepsilon < 1/3$  and*

$$\delta < 1 - (1 - \lambda + \varepsilon)^{1/3}.$$

For any weight function  $\phi : D \rightarrow [0, 1]$  and any functions  $u_0, u_1 \in \mathbb{F}_q^D$  satisfying

$$\Pr_{z \in \mathbb{F}_q} [\mu_\phi(u_0 + zu_1, C) > 1 - \delta] \geq \frac{2}{\varepsilon^2 q}, \quad (12)$$

there exist  $T \subset D$  and  $v_0, v_1 \in C$ , such that

- $\sum_{x \in T} \phi(x) \geq (1 - \delta - \varepsilon) |D|$ ,
- for each  $i \in \{0, 1\}$ ,  $u_i|_T = v_i|_T$ .

*Proof of Proposition 4.* As for Proposition 3, we proceed by induction on  $m$ . The case  $m = 1$  is treated by Lemma 5. Let us assume that the statement is true for  $m - 1$ .

Observe that if the function  $\phi : D \rightarrow [0, 1]$  is constant equal to 1, then  $\mu_\phi(u, v) = 1 - \Delta(u, v)$ . Therefore, for any weight function  $\phi : D \rightarrow [0, 1]$  and any  $u, v \in \mathbb{F}_q^D$ ,  $\mu_\phi(u, v) \leq 1 - \Delta(u, v)$ . Consequently,  $\mu_\phi(u, C) \leq 1 - \Delta(u, C)$ .

Thus we get from (11):

$$\left\{ \mathbf{p} \in \mathbb{F}_q^m \mid \mu_\phi \left( \sum_{e \in \{0,1\}^m} \mathbf{p}^e u_e, C \right) > 1 - \delta \right\} \subseteq \left\{ \mathbf{p} \in \mathbb{F}_q^m \mid \Delta \left( \sum_{e \in \{0,1\}^m} \mathbf{p}^e u_e, C \right) < \delta \right\}.$$

The latter set has size at least  $\frac{2m}{\varepsilon^2} q^{m-1}$ . Then, the proof follows the proof of Proposition 3, until we get a set  $A' \subset A$  of size at least  $1/\varepsilon$  and  $v_{(\mathbf{a},0)}, v_{(\mathbf{a},1)} \in C$  such that for all  $\mathbf{a} \in \{0, 1\}^{m-1}$ , for all  $z \in A'$ ,  $v_{\mathbf{a},z} = v_{(\mathbf{a},0)} + zv_{(\mathbf{a},1)}$ .

Let  $T$  be the set

$$T = \left\{ x \in D \mid \text{for all } \mathbf{a} \in \{0, 1\}^{m-1}, u_{(\mathbf{a},0)}(x) = v_{(\mathbf{a},0)}(x) \text{ and } u_{(\mathbf{a},1)}(x) = v_{(\mathbf{a},1)}(x) \right\}.$$

For all  $\mathbf{a} \in \{0, 1\}^{m-1}$ , for all  $z \in A'$ ,  $\Delta(u_{(\mathbf{a},0)} + zu_{(\mathbf{a},1)}, v_{(\mathbf{a},0)} + zv_{(\mathbf{a},1)}) < \delta + (m-1)\varepsilon$ . Still noting  $u_{\mathbf{a},z} = u_{\mathbf{a},0} + zu_{\mathbf{a},1}$  and  $v_{\mathbf{a},z} = v_{\mathbf{a},0} + zv_{\mathbf{a},1}$ , we get  $\mu_\phi(u_{\mathbf{a},z}, v_{\mathbf{a},z}) > 1 - \delta - (m-1)\varepsilon$ . We have:

$$\begin{aligned} 1 - \delta - (m-1)\varepsilon &< \frac{1}{|A'|} \sum_{z \in A'} \mu_\phi(u_{\mathbf{a},z}, v_{\mathbf{a},z}) \\ &< \frac{1}{|A'| |D|} \sum_{z \in A'} \sum_{x \in D} (\phi(x) \cdot \mathbf{1}_{u_{\mathbf{a},z}(x) = v_{\mathbf{a},z}(x)}) \\ &< \frac{1}{|D|} \sum_{x \in D} \phi(x) \cdot \left( \frac{1}{|A'|} \sum_{z \in A'} \mathbf{1}_{u_{\mathbf{a},z}(x) = v_{\mathbf{a},z}(x)} \right). \end{aligned}$$

For  $x \in D \setminus T$ , there is at most one element  $z \in \mathbb{F}_q$  such that  $u_{(\mathbf{a},0)}(x) + zu_{(\mathbf{a},1)}(x) = v_{(\mathbf{a},0)}(x) + zv_{(\mathbf{a},1)}(x)$ . Thus, we get

$$\begin{aligned} 1 - \delta - (m-1)\varepsilon &< \frac{1}{|D|} \sum_{x \in T} \phi(x) + \frac{1}{|D|} \sum_{x \in D \setminus T} \phi(x) \frac{1}{|A'|} \\ &< \frac{1}{|D|} \sum_{x \in T} \phi(x) + \varepsilon. \end{aligned}$$

Rearranging, we have  $\sum_{x \in T} \phi(x) > (1 - \delta - m\varepsilon) |D|$ .  $\square$

## 6 Sequence of evaluation domains defined by two-to-one maps

In this section, we provide a common notation for two different settings, depending on the algebraic nature of the evaluation domain  $L$ . The first one will be prime fields which admit a 2-smooth multiplicative subgroup. The second one will be fields of characteristic two. These two settings also appear in [BS08, BBHR18] in the context of proximity testing to Reed-Solomon codes.

### 6.1 Case of a smooth multiplicative group

Let us assume that  $\mathbb{F}_q$  is a prime field and  $q - 1$  is divisible by a power of two, i.e.  $q = a \cdot 2^n + 1$  for some positive integers  $a$  and  $n$ . We will consider  $L_0 \subset \mathbb{F}_q$  a cyclic multiplicative group of order  $2^n$ . For any integer  $r$ , we define a sequence of evaluation sets  $(L_i)_{0 \leq i \leq r}$  as:  $L_{i+1} := q_i(L_i)$  where  $q_i(X) = X^2$ . Let  $A_i \subset L_i$  a multiplicative subgroup of  $L_i$  of size 2, each multiplicative coset of  $A_i$  is mapped to a single element of  $L_{i+1}$  by the map  $x \mapsto q_i(x)$ .

### 6.2 Case of an affine subspace in characteristic 2

If  $\mathbb{F}_q$  has characteristic two, we consider  $L_0 \subset \mathbb{F}_q$  an affine subspace over  $\mathbb{F}_2$  of dimension  $n$ . Let  $A_i \subset L_0$  be an  $\mathbb{F}_2$ -affine subspace with  $\dim A_i = 1$ . Define  $q_i(X) := \prod_{a \in A_i} (X - a)$ . Then  $q_i(X)$  is a so-called *subspace polynomial*, also known as *linearized polynomials* when  $A_i$  is a vector space. It has the form  $X^2 + \alpha X + \beta$  for  $\alpha, \beta \in \mathbb{F}_q$ , and each additive coset of  $A_i$  is mapped to a single element of  $L_{i+1}$  by the map  $x \mapsto q_i(x)$ , and  $\dim L_{i+1} = \dim L_i - \dim A_i = \dim L_i - 1$ . For more on affine and linearized polynomials, see [LN97, Section 3.4].

### 6.3 Common properties

In both cases, we have that  $|L_{i+1}| = \frac{1}{2} |L_i| = \frac{1}{2^i} |L_0|$ . Moreover, the map  $\pi_i : L_i^m \rightarrow L_{i+1}^m$  defined by  $\pi_i(\mathbf{x}) := (q_i(x_1), \dots, q_i(x_m))$  is  $2^m$ -to-1 on its domain.

A crucial ingredient of the constructions presented in the two next sections will be the following fact: if  $f : L_i^m \rightarrow \mathbb{F}_q$  corresponds to the evaluation of a polynomial  $\hat{f} \in \mathbb{F}_q[\mathbf{X}]$  of bounded degree, then Proposition 2 gives a decomposition of  $f$  in terms of functions  $(g_e \circ \pi_i)_{e \in \{0,1\}^m}$  where  $g_e : L_{i+1}^m \rightarrow \mathbb{F}_q$  is the evaluation of a polynomial of half degree.

**Remark 4.** *The choice to consider degree-2 maps  $q_i$  is intended to simplify the exposition. Recall that Proposition 2 is stated for  $q_i$  of arbitrary degree  $l$ . After examining proofs of Sections 7 and 8, one can see that the generalization to maps of higher degree is also valid.*

## 7 Tensor product of Reed-Solomon codes

### 7.1 Sequence of codes

Let  $k$  be a power of two and set  $r = \log_2 k$ . As suggested in Section 6, depending on whether we work in case 6.1 or 6.2, consider  $L \subset \mathbb{F}_q$  of size  $|L| > k$  which is either a cyclic group of order a power of two, or an affine subspace over  $\mathbb{F}_2$ . We will use the notations introduced in Section 6 and will consider  $L_0 = L, L_1, \dots, L_r$  as defined there.

Set  $k_0 := k$ . For  $0 < i \leq r$ , define  $k_{i+1} := \frac{k_i}{2}$ . In particular, for all  $i$ , we have  $k_i < |L_i|$ . In the sequel, we denote by  $(\text{RS}_i^m)_{0 \leq i \leq r}$  where  $\text{RS}_i^m$  the sequence of tensor product of RS codes refers to the code  $(\text{RS}[\mathbb{F}_q, L_i, k_i])^{\otimes m}$ , regardless we are in case 6.1 or 6.2.

Notice that, for all  $i \in [0, r]$ , we have  $k_i < |L_i|$ . Moreover, each code  $\text{RS}_i^m$  has same rate  $R := \left(\frac{k}{|L|}\right)^m$ . The first code  $\text{RS}_0^m$  has relative distance  $\lambda := \left(1 - \frac{k-1}{|L|}\right)^m$  and the sequence of relative distances corresponding to  $(\text{RS}_i^m)_{0 \leq i \leq r}$  is strictly increasing.

## 7.2 Folding operators

For each code  $\text{RS}_i^m$ ,  $0 \leq i < r$ , we define a family of folding operators satisfying the distance preservation property. They will enable us to iteratively reduce the problem of proximity testing to a code  $\text{RS}_i^m$  to a problem of size  $2^m$  times smaller, namely proximity testing to  $\text{RS}_{i+1}^m$ .

**Definition 9** (Folding operators). *Let  $i \in [0, r-1]$ . Let  $f : L_i^m \rightarrow \mathbb{F}_q$  be an arbitrary function and let  $\hat{f}$  be its low-degree extension. Let  $(\hat{g}_e)_{e \in \{0,1\}^m}$  be the  $2^m$   $m$ -variate polynomials provided by Proposition 2 applied to  $\hat{f}$ . We consider their evaluations on  $L_{i+1}^m$ , respectively denoted by  $g_e$ . For any  $\mathbf{p} \in \mathbb{F}_q^m$ , we define the folding of  $f$   $\mathbf{Fold}[f, \mathbf{p}]$  as the following function:*

$$\mathbf{Fold}[f, \mathbf{p}] : \begin{cases} L_{i+1}^m & \rightarrow \mathbb{F}_q, \\ \mathbf{y} & \mapsto \sum_{e \in \{0,1\}^m} \mathbf{p}^e g_e(\mathbf{y}). \end{cases} \quad (13)$$

First, we show that this defines a folding operator for the code  $\text{RS}_i^m$  as per Definition 5.

**Lemma 6** (Completeness). *For any  $\mathbf{p} \in \mathbb{F}_q^m$ , if  $f \in \text{RS}_i^m$ , then  $\mathbf{Fold}[f, \mathbf{p}] \in \text{RS}_{i+1}^m$ .*

*Proof.* Proposition 2 shows that, for all  $e \in \{0,1\}^m$  and all  $j \in [1, m]$ ,  $\deg_{X_j} \hat{g}_e \leq \left\lfloor \frac{k_i-1}{2} \right\rfloor$ , which is strictly less than  $k_{i+1}$  since  $k_i$  is even.  $\square$

**Lemma 7** (Locality). *Let  $f : L_i^m \rightarrow \mathbb{F}_q$  be an arbitrary function and let  $\mathbf{p} \in \mathbb{F}_q^m$ . The value of  $\mathbf{Fold}[f, \mathbf{p}]$  at any  $\mathbf{y} \in L_{i+1}^m$  can be computed with exactly  $2^m$  queries to  $f$ .*

*Proof.* Take  $\mathbf{y} = (y_1, \dots, y_m) \in L_{i+1}^m$ . For each  $j \in [1 \dots m]$ , define  $S_{y_j} \subset L_i$  the coset of  $A_i$  such that  $q_i(S_{y_j}) = y_j$  (i.e.  $S_{y_j}$  is the set of roots of the polynomial  $q_i(X) - y_j$ ). Set  $S_{\mathbf{y}} = \prod_{j=1}^m S_{y_j}$  and consider  $P_{f, \mathbf{y}} \in \mathbb{F}_q[\mathbf{X}]$  the unique low-degree extension of  $f|_{S_{\mathbf{y}}}$ .

Let us prove that for all  $\mathbf{p} \in \mathbb{F}_q^m$ , we have  $P_{f, \mathbf{y}}(\mathbf{p}) = \mathbf{Fold}[f, \mathbf{p}](\mathbf{y})$ , which would induce that the value of  $\mathbf{Fold}[f, \mathbf{p}](\mathbf{y})$  can be computed by interpolating the set of points  $\{(\mathbf{x}, f(\mathbf{x})), \mathbf{x} \in S_{\mathbf{y}}\}$  of size  $2^m$ .

By Lemma 4, one can write

$$\hat{f}(\mathbf{X}) = \sum_{\mathbf{u} \in U} \hat{f}_{\mathbf{u}}(\mathbf{X}) q_i(X_1)^{u_1} \dots q_i(X_m)^{u_m}$$

with for all  $\mathbf{u} \in U$  and  $j \in [1, m]$ ,  $\deg_{X_j} f_{\mathbf{u}} < 2$ . Since the polynomial  $\hat{f}(\mathbf{X})$  and  $P_{f, \mathbf{y}}(\mathbf{X})$  agree on  $S_{\mathbf{y}}$ , we get that

$$\hat{f}(\mathbf{X}) = P_{f, \mathbf{y}}(\mathbf{X}) \pmod{(q_i(X_1) - y_1, \dots, q_i(X_m) - y_m)}.$$

By definition of the low-degree extension,  $\deg_{X_j} P_{f, \mathbf{y}} < 2$  for all  $j$ , thus

$$P_{f, \mathbf{y}}(\mathbf{X}) = \sum_{\mathbf{u} \in U} \hat{f}_{\mathbf{u}}(\mathbf{X}) \mathbf{y}^{\mathbf{u}}.$$

For each  $\mathbf{u} \in U$ , write each polynomial  $f_{\mathbf{u}}$  as  $f_{\mathbf{u}}(\mathbf{X}) = \sum_{\mathbf{e} \in \{0,1\}^m} a_{\mathbf{u},\mathbf{e}} \mathbf{X}^{\mathbf{e}}$ . Proof of Proposition 2 shows that each polynomial  $\hat{g}_{\mathbf{e}}$  is equal to  $\sum_{\mathbf{u} \in U} a_{\mathbf{u},\mathbf{e}} \mathbf{X}^{\mathbf{u}}$ . Therefore, for all  $\mathbf{y} \in L_{i+1}^m$ , we have

$$P_{f,\mathbf{y}}(\mathbf{X}) = \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{X}^{\mathbf{e}} \hat{g}_{\mathbf{e}}(\mathbf{y}).$$

Finally, for all  $\mathbf{p} \in \mathbb{F}_q^m$  and  $\mathbf{y} \in L_{i+1}^m$ , the evaluation of  $\mathbf{Fold}[f, \mathbf{p}]$  at  $\mathbf{y}$  can be obtained by evaluating  $P_{f,\mathbf{y}}$  at  $\mathbf{p}$ .  $\square$

Let us now show that Definition 9 satisfies distance preservation (Definition 7) for  $\nu_{q,m}(\varepsilon) = \frac{2m}{\varepsilon^2 q}$  and  $\gamma(\varepsilon, \lambda) = 1 - (1 - \lambda + \varepsilon)^{\frac{1}{3}}$ .

**Proposition 5** (Distance preservation). *Let  $f_i : L_i^m \rightarrow \mathbb{F}_q$  be an arbitrary function. Let  $\varepsilon \in (0, \frac{1}{3})$  and  $\delta < 1 - (1 - \lambda + \varepsilon)^{\frac{1}{3}}$ . Let  $\phi_i : L_i^m \rightarrow [0, 1]$  and  $\phi_{i+1} : L_{i+1}^m \rightarrow [0, 1]$  be weight functions such that*

$$\forall \mathbf{y} \in L_{i+1}^m, \phi_{i+1}(\mathbf{y}) \leq \frac{1}{2^m} \sum_{\mathbf{x} \in S_{\mathbf{y}}} \phi_i(\mathbf{x}).$$

If  $f : L_i^m \rightarrow \mathbb{F}_q$  has weighted agreement  $\mu_{\phi_i}(f, \mathbf{RS}_i^m) < 1 - \delta$ , then

$$\Pr_{\mathbf{p} \in \mathbb{F}_q^m} [\mu_{\phi_{i+1}}(\mathbf{Fold}[f, \mathbf{p}], \mathbf{RS}_{i+1}^m) > 1 - \delta + m\varepsilon] < \frac{2m}{\varepsilon^2 q}.$$

*Proof.* We proceed by contraposition and we assume

$$\Pr_{\mathbf{p} \in \mathbb{F}_q^m} [\mu_{\phi_{i+1}}(\mathbf{Fold}[f, \mathbf{p}], \mathbf{RS}_{i+1}^m) > 1 - \delta + m\varepsilon] \geq \frac{2m}{\varepsilon^2 q}.$$

Applying Proposition 4 on  $\mathbf{Fold}[f, \mathbf{p}] = \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{p}^{\mathbf{e}} g_{\mathbf{e}}$ , we get that there exist  $T \subset L_{i+1}^m$  and  $(v_{\mathbf{e}})_{\mathbf{e} \in \{0,1\}^m}, v_{\mathbf{e}} \in \mathbf{RS}_{i+1}^m$ , satisfying

- $\sum_{\mathbf{y} \in T} \phi_{i+1}(\mathbf{y}) \geq (1 - \delta) |L_{i+1}^m|$ ,
- for all  $\mathbf{e} \in \{0,1\}^m, g_{\mathbf{e}|T} = v_{\mathbf{e}|T}$ .

For each  $\mathbf{e} \in \{0,1\}^m$ , let us consider  $\hat{v}_{\mathbf{e}} \in \mathbb{F}_q[\mathbf{Y}]$  the polynomial of individual degrees less than  $k_{i+1}$  associated with the codeword  $v_{\mathbf{e}} \in \mathbf{RS}_{i+1}^m$ .

Let  $R$  be the polynomial defined by

$$R(\mathbf{X}) := \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{X}^{\mathbf{e}} \hat{v}_{\mathbf{e}}(q_1(X_1), \dots, q_i(X_m))$$

and  $v$  be the evaluation of  $R$  on  $L_i^m$ .

Since  $k_{i+1} \leq k_i/2$ , we have  $\deg_{X_j} R \leq 1 + 2 \cdot (k_{i+1} - 1) < k_i$ , hence  $v \in \mathbf{RS}_i^m$ . For all  $\mathbf{y} \in T$  and  $\mathbf{x} \in S_{\mathbf{y}}$ , i.e.  $\pi(\mathbf{x}) = \mathbf{y}$ ,  $v(\mathbf{x}) = \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{x}^{\mathbf{e}} v_{\mathbf{e}}(\pi(\mathbf{x}))$  and

$$f(\mathbf{x}) = \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{x}^{\mathbf{e}} \hat{g}_{\mathbf{e}}(\mathbf{y}) = \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{x}^{\mathbf{e}} g_{\mathbf{e}}(\mathbf{y}) = \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{x}^{\mathbf{e}} v_{\mathbf{e}}(\mathbf{y}) = v(\mathbf{x}). \quad (14)$$

Thus  $v$  agrees with  $f$  on  $S_T := \bigsqcup_{\mathbf{y} \in T} S_{\mathbf{y}}$ . Since  $v \in \mathbf{RS}_i^m$ , we have

$$\mu_{\phi_i}(f, \mathbf{RS}_i^m) \geq \frac{1}{|L_i^m|} \sum_{\mathbf{x} \in S_T} \phi_i(\mathbf{x}) = \frac{1}{|L_i^m|} \sum_{\mathbf{y} \in T} \sum_{\mathbf{x} \in S_{\mathbf{y}}} \phi_i(\mathbf{x}) \geq \frac{1}{|L_{i+1}^m|} \sum_{\mathbf{y} \in T} \phi_{i+1}(\mathbf{y}).$$

Eventually, we conclude that  $\mu_{\phi_i}(f, \mathbf{RS}_i^m) \geq 1 - \delta$  by definition of  $T$ . This contradicts the hypothesis on  $f$ .  $\square$

### 7.3 IOPP for tensor product of RS codes

Given a sequence of codes  $(\text{RS}_i^m)_{0 \leq i \leq r}$  as defined in Section 7.1 and a family of folding operators for each code  $\text{RS}_i^m$  (see Section 7.2), the generic construction described proposed in Section 3.2 leads to a public-coin IOPP  $(\mathcal{P}_{\text{RS}^m}, \mathcal{V}_{\text{RS}^m})$  for the code  $\text{RS}_0^m$ .

Notice that the last function  $f_r$  is supposed to be constant. Therefore, we use the variant of the protocol described in Remark 2. Specifically, instead of sending  $f_r$  during the COMMIT phase, the prover  $\mathcal{P}_{\text{RS}^m}$  sends a single field element  $\beta \in \mathbb{F}_q$ . The verifier  $\mathcal{V}_{\text{RS}^m}$  does not run a membership test to  $C_r$  but checks the equation  $\beta = \mathbf{Fold}[f_{r-1}, \mathbf{p}_{r-1}](\mathbf{y}_r)$ .

The properties of the resulting IOPP system  $(\mathcal{P}_{\text{RS}^m}, \mathcal{V}_{\text{RS}^m})$  are displayed in the following theorem.

**Theorem 2.** *Let  $k, m$  be positive integers such that  $k > 1$  is a power of two. Let  $L \subset \mathbb{F}_q^\times$  as described in Section 6 such that  $k < |L|$ . Then, the generic construction of Section 3.2 leads to public-coin IOPP system  $(\mathcal{P}_{\text{RS}^m}, \mathcal{V}_{\text{RS}^m})$  for the tensor product code  $(\text{RS}[\mathbb{F}_q, L, k])^{\otimes m}$  of blocklength  $n^m$  with the following properties.*

1. **Round complexity** is  $r(n^m) < \log n$ .
2. **Query complexity** is  $q(n^m) < \alpha 2^m \log n + 1$  for  $\alpha$  repetitions of the QUERY phase.
3. **Proof length** is  $l(n^m) < \frac{n^m}{2^m - 1}$ .
4. **Prover complexity** is  $t_p(n^m) < 4(m + 2)n^m$ .
5. **Verifier decision complexity** is  $t_v(n^m) < 4\alpha(2^m + m) \log n$ .
6. **Perfect completeness:** *If  $f \in (\text{RS}[\mathbb{F}_q, L, k])^{\otimes m}$  and if the oracles  $f_1, \dots, f_r$  are computed by an honest prover  $\mathcal{P}_{\text{RS}^m}$ , then  $\mathcal{V}_{\text{RS}^m}$  outputs **accept** with probability 1.*
7. **Soundness:** *Assume that  $f : L^m \rightarrow \mathbb{F}_q$  is  $\delta$ -far from  $(\text{RS}[\mathbb{F}_q, L, k])^{\otimes m}$ . Denote  $\lambda$  the relative minimum distance of  $(\text{RS}[\mathbb{F}_q, L, k])^{\otimes m}$  and, for any  $\varepsilon \in (0, \frac{1}{3})$ , set  $\gamma(\lambda, \varepsilon) := 1 - (1 - \lambda + \varepsilon)^{1/3}$ . Then, for any unbounded prover  $\mathcal{P}^*$ , the verifier  $\mathcal{V}_{\text{RS}^m}$  outputs **accept** after  $\alpha$  repetitions of the QUERY phase with probability at most*

$$\frac{2m \log n}{\varepsilon^2 q} + (1 - \min(\delta, \gamma(\varepsilon, \lambda)) + \varepsilon m \log n)^\alpha.$$

*Proof.* We apply the construction of the public-coin IOPP system presented in Section 3.2 with the family of folding operators defined in Section 7.2. Completeness and soundness follow from Theorem 1. The number of round is  $r = \log k < \log |L|$  by definition. For a single repetition of the query test,  $\mathcal{V}_{\text{RS}^m}$  queries each oracle  $f_i$ ,  $i \in [0 \dots r - 1]$ , at  $2^m$  locations. The verifier retrieves  $\beta$  a single time, which yields the claimed query complexity.

The total proof length is

$$\sum_{i=1}^r |L_i^m| = \sum_{i=1}^r \frac{n^m}{2^{mi}} < \frac{n^m}{2^m - 1}.$$

We examine prover complexity. Let  $f : L_i^m \rightarrow \mathbb{F}_q$  and  $\mathbf{p} \in \mathbb{F}_q^m$ . For each  $\mathbf{y} \in L_{i+1}^m$ , the prover evaluates the low-degree extension  $P_{f, \mathbf{y}}(\mathbf{X})$  of  $f|_{S_{\mathbf{y}}}$  at  $\mathbf{p}$ , where  $S_{\mathbf{y}} = \pi_i^{-1}(\{\mathbf{y}\})$ . It follows from Lemma 2 that the number of operations to evaluate  $\mathbf{Fold}[f, \mathbf{p}]$  on  $L_{i+1}^m$  is  $4(2^m + m)|L_{i+1}^m|$ . We deduce that the cost of honestly generating  $\mathcal{P}_{\text{RS}^m}$ 's messages is

$$\sum_{i=1}^r 4(2^m + m)|L_{i+1}^m| < 4(2^m + m) \frac{n^m}{2^m - 1} \leq 4(m + 2)n^m.$$

We also deduce from Lemma 2 that the verifier complexity is less than  $\alpha \sum_{i=1}^r 4(2^m + m)$ .  $\square$



**Comparisons with the univariate case** Soundness of the FRI protocol [BBHR18] has been analyzed in [BBHR18, BKS18, BGKS20, BCI<sup>+</sup>20]. For a Reed-Solomon code of blocklength  $N$ , relative distance  $\lambda$  and alphabet  $\mathbb{F}_q$  of size linear in  $N$ , the soundness is given by [BGKS20]. Specifically, for a single repetition of the QUERY phase, soundness error of the FRI protocol is at most

$$\frac{2 \log N}{\varepsilon^2 |\mathbb{F}_q|} + (1 - \min(\delta, \delta_0) + \varepsilon \log N),$$

where  $\delta_0 = 1 - (1 - \lambda + \varepsilon)^{1/3}$ . Authors of [BGKS20] also showed that this bound on soundness error of the FRI protocol is tight for RS codes evaluated over the entire field, and when this field has characteristic two. Subsequently, [BCI<sup>+</sup>20] improved soundness of the FRI protocol for quadratic-size fields using formal list-decoding algorithms for RS codes.

We point out that the soundness error of our IOPP for tensor product of RS code is given by the exact same formula than the one shown in [BGKS20] for the univariate case, albeit tensor codes have worse relative distance.

In Figure 3, we present the parameters of the FRI protocol for RS codes and our IOPP for tensor product of RS codes side by side. We consider codes of blocklength  $N$  and dimension  $K$  and a single repetition of the QUERY phase. In order to achieve arbitrary constant soundness error, both protocols require to repeat the QUERY phase. This process increases query complexity and verifier running time by a multiplicative factor. However, the FRI protocol has better soundness, thus requires less repetitions.

Scheme	Prover	Verifier	Query	Length	Rounds
RS IOPP [BBHR18]	$< 6N$	$< 42 \log K$	$2 \log K$	$< \frac{N}{3}$	$\log K$
Tensor RS IOPP	$< (2m + 4)N$	$< 4 \left(\frac{2^m}{m} + 1\right) \log K$	$\frac{2^m}{m} \log K$	$< \frac{N}{2^m - 1}$	$\frac{\log K}{m}$

Figure 3: Comparison between the IOPP for a RS code of [BBHR18] and our IOPP for a tensor product of RS code. We compare codes with the same blocklength  $N$  and same dimension  $K$ .

## 7.4 Remarks on partial folding

In this subsection, we make some remarks (without proofs) about the possibility of folding with respect to a single indeterminate, instead of folding along all the indeterminates at once. We call this partial folding. For simplicity, we limit ourselves to the case  $m = 2$ , and to the polynomial  $q(X) = X^2$ . Then, instead of folding at once and reducing the length of the code by 4, it is possible to fold first along the  $X_1$  indeterminate, reducing the length by 2, then to fold along the  $X_2$  coordinates, reducing again the length by 2. One can also intertwine partial foldings with respect to the  $X_1$  indeterminate and partial foldings with respect to the  $X_2$  indeterminate, in any order. It is also possible to fold only with respect to the  $X_1$  coordinate, and keep the  $X_2$  coordinate intact. Statements below are true when exchanging the roles of  $X_1$  and  $X_2$ .

For  $L_1, L_2 \subset \mathbb{F}_q$ , given a function  $f : L_1 \times L_2 \rightarrow \mathbb{F}_q$ , and  $\hat{f}(X_1, X_2)$  its associated low-degree extension, we can decompose it as

$$\hat{f}_1(X_1, X_2) = \hat{g}_0(X_1^2, X_2) + X_1 \hat{g}_1(X_1^2, X_2).$$

For  $a \in \mathbb{F}$ , the notation **Fold** $_{X_1} [f, a] : q(L_1) \times L_2 \rightarrow \mathbb{F}_q$  will refer to the function whose low-degree extension is the polynomial

$$\hat{g}_0(X_1, X_2) + a \hat{g}_1(X_1, X_2).$$

Similarly, after writing  $\widehat{f}(X_1, X_2) = \widehat{h}_0(X_1, X_2^2) + X_2 \widehat{h}_1(X_1, X_2^2)$ , given  $b \in \mathbb{F}_q$ , we can define  $\mathbf{Fold}_{X_2}[f, b] : L_1 \times q(L_2) \rightarrow \mathbb{F}_q$  whose low-degree extension is  $\widehat{h}_0(X_1, X_2) + b \widehat{h}_1(X_1, X_2)$ . We have the following local property.

**Proposition 6.** *Let  $f : L_1 \times L_2 \rightarrow \mathbb{F}$  and  $a \in \mathbb{F}$ . For any  $(x, y) \in q(L_1) \times L_2$ , it is possible to compute the value  $\mathbf{Fold}_{X_1}[f, a](x, y)$  with only 2 queries to  $f$ .*

A simple calculation shows that for  $f : L_1 \times L_2 \rightarrow \mathbb{F}_q$ , and  $\mathbf{p} = (a, b) \in \mathbb{F}^2$ , we have

$$\mathbf{Fold}[f, \mathbf{p}] = \mathbf{Fold}_{X_2}[\mathbf{Fold}_{X_1}[f, a], b]. \quad (15)$$

Thus, doing two partial foldings outputs the same word as a single two-variables folding. The number of queries for computing a local value this way is also 4.

**Proposition 7.** *Let  $\mathbf{RS}_1$  be the Reed-Solomon code of support  $L_1$  and dimension  $k_1$  and  $\mathbf{RS}_2$  the Reed-Solomon codes of support  $L_2$  and dimension  $k_2$ . Let  $\mathbf{RS}'_1$  the Reed-Solomon of support  $q(L_1)$  and dimension  $k_1/2$ . If  $f \in \mathbf{RS}_1 \otimes \mathbf{RS}_2$  then  $\mathbf{Fold}_{X_1}[f, a] \in \mathbf{RS}'_1 \otimes \mathbf{RS}_2$ .*

We state a variant of Proposition 5 in the setting of a partial folding. For simplicity, we state the result in terms of the Hamming distance.

**Proposition 8.** *Let  $f : L_1 \times L_2 \rightarrow \mathbb{F}_q$  be an arbitrary function. Let  $\varepsilon \in (0, \frac{1}{3})$  and  $\delta < 1 - (1 - \lambda + \varepsilon)^{\frac{1}{3}}$ . Let  $\mathbf{RS}_1$  be the Reed-Solomon code of support  $L_1$  and dimension  $k_1$  and  $\mathbf{RS}_2$  the Reed-Solomon codes of support  $L_2$  and dimension  $k_2$ . Let  $\mathbf{RS}'_1$  the Reed-Solomon of support  $q(L_1)$  and dimension  $k_1/2$ . If  $\Delta(f, \mathbf{RS}_1 \otimes \mathbf{RS}_2) > \delta$ , then*

$$\Pr_{a \in \mathbb{F}_q} [\Delta(\mathbf{Fold}_{X_1}[f, a], \mathbf{RS}'_1 \otimes \mathbf{RS}_2) < \delta - \varepsilon] < \frac{2}{\varepsilon^2 q}.$$

From the above observations, we could design another IOPP for the tensor product of two Reed-Solomon codes. For instance, we could replace a round with a two-variables folding by two rounds with a single-variable folding each. The above considerations give correctness and locality. Concerning soundness, Proposition 8 applied two times leads to the same probabilities  $4/(\varepsilon^2 q)$  for distance preservation, which is exactly the same as in Proposition 5 for  $m = 2$ . So the soundness will not degrade. However, some disadvantages appear. First, the number of rounds will double, and second, instead of having a new oracle at each round of size  $|L_1 \times L_2|/4$ , we get an oracle of size  $|L_1| \times |L_2|/2$  followed by an oracle of size  $(|L_1| \times |L_2|)/4$ , which is three times more.

## 7.5 Generalization to distinct individual degrees

The IOPP for  $m$ -wise tensor product of RS codes can easily be extended to a tensor product of  $m$  distinct Reed-Solomon codes

$$\mathbf{RS}[\mathbb{F}_q, L^{(1)}, k^{(1)}] \otimes \mathbf{RS}[\mathbb{F}_q, L^{(2)}, k^{(2)}] \otimes \dots \otimes \mathbf{RS}[\mathbb{F}_q, L^{(m)}, k^{(m)}]$$

with different degree bounds  $(k^{(j)})_{1 \leq j \leq m}$  and supports  $(L^{(j)})_{0 \leq j \leq m}$ .

We briefly explain how to proceed without giving details. It suffices to notice that the natural generalization of folding operators of Definition 9 for distinct degrees satisfies Definition 5 and Definition 7. Assuming each code  $\mathbf{RS}[\mathbb{F}_q, L^{(j)}, k^{(j)}]$  has same rate  $\rho$  and every  $k^{(j)}$  is a power of two, one can apply the folding operations over a number of rounds  $r_0 = \log(\min_j k^{(j)})$ . After  $r_0$  rounds, we have reduced the initial problem to the one of testing proximity to a tensor product code of RS codes where one of the RS code has dimension one. If necessary, some rounds of interactions can be added to end up with a constant function  $f_r$  by using partial folding over the remaining indeterminates, as described in Section 7.4.

## 8 Short Reed-Muller codes

### 8.1 Sequence of codes

Similarly to Section 7.1, we will consider two families of short Reed-Muller codes, depending on whether case 6.1 or case 6.2 holds. Let  $k$  be a power of two,  $k < |L|$  and set  $r = \log_2 k$ . We consider  $L_0 = L, L_1, \dots, L_r$  as constructed in Section 6.

Set  $k_0 := k$ . For  $0 < i \leq r$ , define  $k_{i+1} := \frac{k_i}{2}$ . In particular, for all  $i$ , we have  $k_i < |L_i|$ . Let us denote by  $\text{SRM}_i$  the short Reed-Muller code  $\text{SRM}[\mathbb{F}_q, L_i, m, k_i]$ .

Starting from the code  $\text{SRM}_0 = \text{SRM}[\mathbb{F}_q, L, m, k]$ , this defines a sequence of Reed-Muller codes  $(\text{SRM}_i)_{0 \leq i \leq r}$ . For each  $i$ , the relative distance  $\lambda_i$  of  $\text{SRM}_i$  is at least  $1 - \frac{k_i - 1}{|L_i|}$ , hence  $\min_i \lambda_i \geq 1 - 2 \frac{k_0}{|L_0|}$ .

### 8.2 Folding operators

Let  $(\text{SRM}_i)_{0 \leq i \leq r}$  be a sequence of short Reed-Muller codes defined as described in Section 8.1 (regardless we are in case 6.1 or 6.2). For each  $i \in [0 .. r - 1]$ , we define a family of folding operators which will enables us to iteratively reduce the problem of proximity testing to a code  $\text{SRM}_i$  to a problem of size  $2^m$  times smaller, namely proximity testing to  $\text{SRM}_{i+1}$ .

Note that the sequences of evaluation domains  $(L_i^m)_i$  and degree bounds  $(k_i)_i$  are defined exactly the same way as in the tensor product case. However, if we design folding operators for Reed-Muller codes by following the same construction than in Definition 9, then the distance preservation property does not hold anymore. For this reason, some *balancing functions* are involved in the definition of folding operators for Reed-Muller codes.

**Definition 10** (Balancing functions). *Let  $i \in [0 .. r - 1]$ . For any  $\mathbf{e} \in \{0, 1\}^m$ , we call a balancing function any map  $h_{\mathbf{e}} : L_{i+1}^m \rightarrow \mathbb{F}_q$  which corresponds to the evaluation of a  $m$ -variate multilinear monic monomial  $\hat{h}_{\mathbf{e}}$  of total degree exactly  $\lfloor \frac{w_H(\mathbf{e})}{2} \rfloor$ . We call  $(h_{\mathbf{e}})_{\mathbf{e} \in \{0, 1\}^m}$  a balancing tuple for the code  $\text{SRM}_{i+1}$ .*

**Definition 11** (Folding operator). *Let  $i \in [0, r - 1]$ . Let  $(h_{\mathbf{e}})_{\mathbf{e} \in \{0, 1\}^m}$  be a balancing tuple for  $\text{SRM}_{i+1}$  and let  $f : L_i^m \rightarrow \mathbb{F}_q$  be an arbitrary function. Given  $(\hat{g}_{\mathbf{e}})_{\mathbf{e} \in \{0, 1\}^m}$  the  $2^m$   $m$ -variate polynomials of the decomposition of Proposition 2, denote  $g_{\mathbf{e}}$  the evaluation on  $L_{i+1}^m$  of  $\hat{g}_{\mathbf{e}}$ . For any  $(\mathbf{p}, \mathbf{p}') \in (\mathbb{F}_q^m)^2$ , we define the folding of  $f$  as the function  $\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] : L_{i+1}^m \rightarrow \mathbb{F}_q$  such that*

$$\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] (\mathbf{y}) = \sum_{\mathbf{e} \in \{0, 1\}^m} \mathbf{p}^{\mathbf{e}} g_{\mathbf{e}}(\mathbf{y}) + \sum_{\substack{\mathbf{e} \in \{0, 1\}^m \\ \mathbf{e} \neq \mathbf{0}}} \mathbf{p}'^{\mathbf{e}} h_{\mathbf{e}}(\mathbf{y}) g_{\mathbf{e}}(\mathbf{y}). \quad (16)$$

Lemmas 8 and 9 show that this defines a folding operator for  $\text{SRM}_i$  as per Definition 5.

**Lemma 8** (Completeness). *Let  $(\mathbf{p}, \mathbf{p}') \in (\mathbb{F}_q^m)^2$ , and  $f : L_i^m \rightarrow \mathbb{F}_q \in \text{SRM}_i$ , then  $\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] : L_{i+1}^m \rightarrow \mathbb{F}_q$  belongs to  $\text{SRM}_{i+1}$ .*

*Proof.* Proof relies on Proposition 2. If  $f \in \text{SRM}_i$ , then the polynomial  $\hat{f}(\mathbf{X})$  associated to  $f$  has total degree at most  $k_i - 1$ . Therefore, for any  $\mathbf{e} \in \{0, 1\}^m$ ,  $\deg \hat{g}_{\mathbf{e}} \leq \lfloor \frac{k_i - 1 - w_H(\mathbf{e})}{2} \rfloor$ . Since  $k_i$  is even, we have both  $\deg \hat{g}_{\mathbf{e}} < k_{i+1}$  and  $\deg(\hat{h}_{\mathbf{e}} \hat{g}_{\mathbf{e}}) \leq \lfloor \frac{w_H(\mathbf{e})}{2} \rfloor + \lfloor \frac{k_i - 1 - w_H(\mathbf{e})}{2} \rfloor < k_{i+1}$ . This means  $\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] : L_{i+1}^m \rightarrow \mathbb{F}_q$  corresponds to the evaluation of a polynomial in  $\mathbb{F}_q[\mathbf{X}]$  of total degree less than  $k_{i+1}$ .  $\square$

**Lemma 9** (Locality). *Let  $f : L_i^m \rightarrow \mathbb{F}_q$  be an arbitrary function and let  $(\mathbf{p}, \mathbf{p}') \in (\mathbb{F}_q^m)^2$ . Given  $\mathbf{y} \in L_{i+1}^m$ , the value  $\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] (\mathbf{y})$  can be computed with exactly  $2^m$  queries to  $f$ .*

*Proof.* The proof follows from the one of Lemma 7. For any  $\mathbf{y} \in L_{i+1}^m$ , the vector  $(g_e(\mathbf{y}))_{e \in \{0,1\}^m}$  corresponds to the vector of coefficients of the low-degree extension of the function  $f|_{S_{\mathbf{y}}}$ .  $\square$

Let us now show that the folding operator of Definition 11 satisfies distance preservation (Definition 7).

**Proposition 9** (Distance preservation). *Denote  $\lambda_{i+1}$  the minimum relative distance of  $\text{SRM}_{i+1}$ . Let  $f : L_i^m \rightarrow \mathbb{F}_q$  be an arbitrary function. Let  $\varepsilon \in (0, \frac{2}{3})$  and*

$$\delta < \min \left( 1 - (1 - \lambda_{i+1} + \varepsilon)^{\frac{1}{3}}, \frac{1}{2}(\lambda_{i+1} + m\frac{\varepsilon}{2}) \right).$$

Let  $\phi_i : L_i^m \rightarrow [0, 1]$  and  $\phi_{i+1} : L_{i+1}^m \rightarrow [0, 1]$  be weight functions such that

$$\forall \mathbf{y} \in L_{i+1}^m, \phi_{i+1}(\mathbf{y}) \leq \frac{1}{2^m} \sum_{\mathbf{x} \in S_{\mathbf{y}}} \phi_i(\mathbf{x}),$$

if  $f : L_i^m \rightarrow \mathbb{F}_q$  has weighted agreement  $\mu_{\phi_i}(f, \text{SRM}_i) > 1 - \delta$ , then

$$\Pr_{\mathbf{p}, \mathbf{p}' \in \mathbb{F}_q^m} [\mu_{\phi_{i+1}}(\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] , \text{SRM}_{i+1}) > 1 - \delta + m\varepsilon] < \frac{16m}{\varepsilon^2 q}.$$

*Proof.* Let  $f : L_i^m \rightarrow \mathbb{F}_q$  be such that  $\mu_{\phi_i}(f, \text{SRM}_i) > 1 - \delta$ , and  $(\hat{g}_e)_{e \in \{0,1\}^m}$  the  $2^m$   $m$ -variate polynomials appearing in the decomposition of  $\hat{f}$  in Proposition 2. For any  $\mathbf{p} \in \mathbb{F}_q^m$ , denote  $u_{\mathbf{p}}$  the function  $u_{\mathbf{p}} = \sum_{e \in \{0,1\}^m} \mathbf{p}^e g_e$ , and for any  $e \in \{0,1\}^m \setminus \{\mathbf{0}\}$ , define  $u_e = h_e g_e$ . One can rewrite  $\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')]$  as follows:

$$\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] = u_{\mathbf{p}} + \sum_{\substack{e \in \{0,1\}^m \\ e \neq \mathbf{0}}} \mathbf{p}'^e u_e.$$

We proceed by contraposition, assuming that

$$\Pr_{\mathbf{p}, \mathbf{p}' \in \mathbb{F}_q^m} [\mu_{\phi_{i+1}}(\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] , \text{SRM}_{i+1}) > 1 - \delta + m\varepsilon] \geq \frac{16m}{\varepsilon^2 q},$$

or, in other words,

$$\Pr_{\mathbf{p} \in \mathbb{F}_q^m} \left[ \Pr_{\mathbf{p}' \in \mathbb{F}_q^m} [\mu_{\phi_{i+1}}(\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] , \text{SRM}_{i+1}) > 1 - \delta + m\varepsilon] \geq \frac{8m}{\varepsilon^2 q} \right] \geq \frac{8m}{\varepsilon^2 q}.$$

Let

$$A := \left\{ \mathbf{p} \in \mathbb{F}_q^m \mid \Pr_{\mathbf{p}' \in \mathbb{F}_q^m} [\mu_{\phi_{i+1}}(\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] , \text{SRM}_{i+1}) > 1 - \delta + m\varepsilon] \geq \frac{8m}{\varepsilon^2 q} \right\}.$$

Proposition 4 implies that, for any  $\mathbf{p} \in A$ , there exist  $T_{\mathbf{p}} \subset L_{i+1}^m$  and  $(w_{\mathbf{p},e})_{e \in \{0,1\}^m}$  with  $w_{\mathbf{p},e} \in \text{SRM}_{i+1}$  such that

- $\sum_{\mathbf{y} \in T_{\mathbf{p}}} \phi_{i+1}(\mathbf{y}) \geq (1 - \delta + m\frac{\varepsilon}{2}) |L_{i+1}^m|,$

- $w_{\mathbf{p},\mathbf{0}}|_{T_{\mathbf{p}}} = u_{\mathbf{p}}|_{T_{\mathbf{p}}}$ ,
- for each  $\mathbf{e} \in \{0, 1\}^m \setminus \{\mathbf{0}\}$ ,  $w_{\mathbf{p},\mathbf{e}}|_{T_{\mathbf{p}}} = u_{\mathbf{e}}|_{T_{\mathbf{p}}}$ .

Thus, for all  $\mathbf{p} \in A$ ,

$$\mu_{\phi_{i+1}} \left( \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{p}^{\mathbf{e}} g_{\mathbf{e}}, \text{SRM}_{i+1} \right) \geq \frac{1}{|L_{i+1}^m|} \sum_{\mathbf{y} \in T_{\mathbf{p}}} \phi_{i+1}(\mathbf{y}) \geq 1 - \delta + m \frac{\varepsilon}{2}.$$

Since  $|A| > \frac{2m}{\varepsilon^2} q^{m-1}$ , we have

$$\Pr_{\mathbf{p} \in \mathbb{F}_q^m} \left[ \mu_{\phi_{i+1}} \left( \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{p}^{\mathbf{e}} g_{\mathbf{e}}, \text{SRM}_{i+1} \right) > 1 - \delta + m \frac{\varepsilon}{2} \right] \geq \frac{8m}{\varepsilon^2 q}.$$

Again, by Proposition 4, we obtain  $T \subset L_{i+1}^m$  and  $(v_{\mathbf{e}})_{\mathbf{e} \in \{0,1\}^m}$  with  $v_{\mathbf{e}} \in \text{SRM}_{i+1}$  such that

- $\sum_{\mathbf{y} \in T} \phi_{i+1}(\mathbf{y}) \geq (1 - \delta) |L_{i+1}^m|$ ,
- for each  $\mathbf{e} \in \{0, 1\}^m$ ,  $v_{\mathbf{e}}|_T = g_{\mathbf{e}}|_T$ .

Fix  $\mathbf{p} \in A$ . For any  $\mathbf{e} \in \{0, 1\}^m$ ,  $\mathbf{e} \neq \mathbf{0}$ , we have

$$w_{\mathbf{p},\mathbf{e}}|_{T_{\mathbf{p}} \cap T} = u_{\mathbf{e}}|_{T_{\mathbf{p}} \cap T} = (h_{\mathbf{e}} g_{\mathbf{e}})|_{T_{\mathbf{p}} \cap T} = (h_{\mathbf{e}} v_{\mathbf{e}})|_{T_{\mathbf{p}} \cap T}.$$

Besides, the intersection of  $T_{\mathbf{p}}$  and  $T$  satisfies

$$\begin{aligned} |T_{\mathbf{p}} \cap T| &= |T_{\mathbf{p}}| + |T| - |T_{\mathbf{p}} \cup T| \\ &\geq \sum_{\mathbf{y} \in T_{\mathbf{p}}} \phi_{i+1}(\mathbf{y}) + \sum_{\mathbf{y} \in T} \phi_{i+1}(\mathbf{y}) - |L_{i+1}^m| \\ &\geq \left(1 - 2\delta + m \frac{\varepsilon}{2}\right) |L_{i+1}^m|, \\ &\geq (1 - \lambda_{i+1}) |L_{i+1}^m|. \end{aligned}$$

Since  $\lambda_{i+1}$  is the minimum relative distance of  $\text{SRM}_{i+1}$ , we deduce that  $w_{\mathbf{p},\mathbf{e}} = h_{\mathbf{e}} v_{\mathbf{e}}$  for every  $\mathbf{e} \in \{0, 1\}^m \setminus \{\mathbf{0}\}$ .

For any  $\mathbf{e} \in \{0, 1\}^m$ , consider polynomials  $\hat{v}_{\mathbf{e}}, \hat{w}_{\mathbf{e},\mathbf{p}} \in \mathbb{F}_q[\mathbf{X}]$  of total degrees at most  $k_{i+1}$ , such that for all  $\mathbf{x} \in L_{i+1}^m$ ,  $\hat{v}_{\mathbf{e}}(\mathbf{x}) = v_{\mathbf{e}}(\mathbf{x})$  and  $\hat{w}_{\mathbf{e},\mathbf{p}}(\mathbf{x}) = w_{\mathbf{e},\mathbf{p}}(\mathbf{x})$ . Hence, for all  $\mathbf{x} \in L_{i+1}^m$ ,  $\hat{w}_{\mathbf{e},\mathbf{p}}(\mathbf{x}) = \hat{v}_{\mathbf{e}}(\mathbf{x}) \hat{h}_{\mathbf{e}}(\mathbf{x})$ , which means that

$$\hat{w}_{\mathbf{e},\mathbf{p}} - \hat{v}_{\mathbf{e}} \hat{h}_{\mathbf{e}} = 0 \pmod{(Z_{i+1}(X_1), \dots, Z_{i+1}(X_m))}, \quad (17)$$

where  $Z_{i+1}(X) = \prod_{a \in L_{i+1}} (X - a)$  has degree  $|L_{i+1}|$ . Since  $k_{i+1} < |L_{i+1}|$ , we have that for any  $j$ ,  $\deg_{X_j} \hat{v}_{\mathbf{e}} \leq |L_{i+1}| - 2$ . Moreover,  $\deg_{X_i} \hat{h}_{\mathbf{e}} \leq 1$ , thus the above equality is true without the modulo:

$$\hat{w}_{\mathbf{e},\mathbf{p}} - \hat{v}_{\mathbf{e}} \hat{h}_{\mathbf{e}} = 0. \quad (18)$$

Therefore,  $\deg \hat{v}_{\mathbf{e}} < k_{i+1} - \left\lfloor \frac{w_H(\mathbf{e})}{2} \right\rfloor$ . For all  $\mathbf{e} \in \{0, 1\}^m$ , we have

$$\deg \mathbf{X}^{\mathbf{e}} \hat{v}_{\mathbf{e}}(q_i(X_1), \dots, q_i(X_m)) \leq w_H(\mathbf{e}) + 2 \left( k_{i+1} - 1 - \frac{w_H(\mathbf{e})}{2} \right) < k_i,$$

hence the polynomial  $R \in \mathbb{F}_q[\mathbf{X}]$  defined by

$$R(\mathbf{X}) := \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{X}^{\mathbf{e}} \hat{v}_{\mathbf{e}}(q_1(X_1), \dots, q_m(X_m))$$

has total degree  $\deg R < k_i$ . Thus the evaluation of  $R$  on  $L_i^m$  is a codeword  $v \in \text{SRM}_i$ . For any  $\mathbf{y} \in T$  and  $\mathbf{x} \in S_{\mathbf{y}}$ , we have

$$f(\mathbf{x}) = \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{x}^{\mathbf{e}} g_{\mathbf{e}}(\mathbf{y}) = \sum_{\mathbf{e} \in \{0,1\}^m} \mathbf{x}^{\mathbf{e}} v_{\mathbf{e}}(\mathbf{y}) = v(\mathbf{x}).$$

Hence,  $v$  agrees with the function  $f$  on the set  $S_T := \bigsqcup_{\mathbf{y} \in T} S_{\mathbf{y}}$ . Since  $v \in \text{SRM}_i$ , we have

$$\mu_{\phi_i}(f, \text{SRM}_i) \geq \frac{1}{|L_i^m|} \sum_{\mathbf{x} \in S_T} \phi_i(\mathbf{x}) = \frac{1}{|L_i^m|} \sum_{\mathbf{y} \in T} \sum_{\mathbf{x} \in S_{\mathbf{y}}} \phi_i(\mathbf{x}) \geq \frac{1}{|L_{i+1}^m|} \sum_{\mathbf{y} \in T} \phi_{i+1}(\mathbf{y}).$$

Eventually, we conclude that  $\mu_{\phi_i}(f, \text{SRM}_i) \geq 1 - \delta$  by definition of  $T$ .  $\square$

### 8.3 IOPP for short Reed-Muller codes

Given a sequence of codes  $(\text{SRM}_i)_{0 \leq i \leq r}$  as defined in Section 8.1 and a family of folding operators for each code  $\text{SRM}_i$  (see Section 8.2), the generic construction described proposed in Section 3.2 leads to a public-coin IOPP  $(\mathcal{P}_{\text{RM}}, \mathcal{V}_{\text{RM}})$  for the code  $\text{SRM}_0$ . As in Section 7, the last function  $f_r$  is supposed to be constant. Therefore, we use the variant of the protocol described in Remark 2. Specifically, instead of sending  $f_r$  during the COMMIT phase, the prover  $\mathcal{P}_{\text{RM}}$  sends a single field element  $\beta \in \mathbb{F}_q$ . The verifier  $\mathcal{V}_{\text{RM}}$  does not run a membership test to  $C_r$  but checks the equation  $\beta = \mathbf{Fold}[f_{r-1}, \mathbf{p}_{r-1}](\mathbf{y}_r)$ . The properties of the resulting IOPP system  $(\mathcal{P}_{\text{RM}}, \mathcal{V}_{\text{RM}})$  are displayed in the following theorem.

**Theorem 3.** *Let  $k, m$  be positive integers. Assume  $k$  is a power of two. Let  $L \subset \mathbb{F}_q^\times$  as described in Section 6 such that  $k < |L|$ . There exists a public-coin IOPP system  $(\mathcal{P}_{\text{RM}}, \mathcal{V}_{\text{RM}})$  testing proximity of a function  $f : L^m \rightarrow \mathbb{F}_q$  to the short Reed-Muller code  $\text{SRM}[\mathbb{F}_q, L, m, k]$  with the following properties:*

1. **Round complexity** is  $r(n^m) < \log n$ .
2. **Query complexity** is  $q(n^m) < \alpha(2^m \log n + 1)$  for a QUERY phase with repetition parameter  $\alpha$ .
3. **Proof length** is  $l(n^m) < \frac{n^m}{(2^m - 1)}$ .
4. **Prover complexity** is  $t_p(n^m) < (\frac{5}{2}m + 14)n^m$ .
5. **Verifier decision complexity** is  $t_v(n^m) < \alpha 2^m (\frac{5}{4}m + 7) \log n$ .
6. **Perfect completeness:** If  $f \in \text{SRM}[\mathbb{F}_q, L, m, k]$  and if the oracles  $f_1, \dots, f_r$  are computed by an honest prover, then  $\mathcal{V}_{\text{RM}}$  outputs **accept** with probability 1.
7. **Soundness:** Assume that  $f : L^m \rightarrow \mathbb{F}_q$  is  $\delta$ -far from  $\text{SRM}[\mathbb{F}_q, L, m, k]$ . Denote  $\lambda = 1 - 2\frac{k}{|L|}$ . For any  $\varepsilon \in (0, \frac{2}{3})$ , set  $\gamma(\varepsilon, \lambda) := \min(1 - (1 - \lambda + \varepsilon)^{1/3}, \frac{1}{2}(\lambda + m\frac{\varepsilon}{2}))$ . Then, for any unbounded prover  $\mathcal{P}^*$ , the verifier  $\mathcal{V}$  outputs **accept** after  $\alpha$  repetitions of the QUERY phase with probability at most

$$r \frac{16m}{\varepsilon^2 q} + (1 - \min(\delta, \gamma(\varepsilon, \lambda)) + rm\varepsilon)^\alpha.$$

*Proof.* We apply the construction of the public-coin IOPP system presented in Section 3.2 with the family of folding operators define in Section 8.2. Completeness and soundness follow from Theorem 1. The number of round is  $r = \log k < \log |L|$ . Query complexity and proof length are the same than in Theorem 2. For soundness, recall that  $\min_i \lambda_i \geq 1 - 2 \frac{k}{|L|}$  where  $\lambda_i$  is the relative distance of SRM $_i$ .

Let  $f : L_i^m \rightarrow \mathbb{F}_q$  be an arbitrary function and let  $(\mathbf{p}, \mathbf{p}') \in (\mathbb{F}_q^m)^2$ . We analyze prover complexity by first computing the cost of evaluating  $\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')]$  on  $L_{i+1}^m$ . The prover  $\mathcal{P}_{\text{RM}}$  can compute the vectors  $(\mathbf{p}^e)_{e \in \{0,1\}^m}$  and  $(\mathbf{p}'^e)_{e \in \{0,1\}^m}$  in less than  $2 \cdot 2^m$  multiplications. Given  $\mathbf{y} \in L_{i+1}^m$ , we look at the cost of computing  $\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')](\mathbf{y})$  (see Equation (16)). Recalling Definition 10, computing the values  $\hat{h}_e(\mathbf{y})$  for all  $e \in \{0,1\}^m$  takes at most  $m2^{m-2}$  operations. As shown in proof of Lemma 7, the vector  $(g_e(\mathbf{y}))$  corresponds to the coefficients of the multilinear low-degree extension of  $f|_{S_{\mathbf{y}}}$ . By Lemma 1, this interpolation can be performed with  $m2^m$  arithmetic operations. Prover then computes the first sum of Equation (16) using  $2^m$  multiplications and  $2^m - 1$  additions. Similarly, the second sum can be computed in less than  $3 \cdot 2^m$  arithmetic operations.

Overall, for any function  $f : L_i^m \rightarrow \mathbb{F}_q$  and  $\mathbf{p}, \mathbf{p}' \in \mathbb{F}_q^m$ , the prover can evaluate  $\mathbf{Fold}[f, (\mathbf{p}, \mathbf{p}')] : L_{i+1}^m \rightarrow \mathbb{F}_q$  in less than

$$2 \cdot 2^m + 5 \cdot 2^m \left(1 + \frac{m}{4}\right) |L_{i+1}^m| \leq 2^m \left(\frac{5}{4}m + 7\right) |L_{i+1}^m|$$

arithmetic operations. We deduce that the cost of honestly generating  $\mathcal{P}_{\text{RS}^m}$ 's messages is

$$\sum_{i=0}^{r-1} 2^m \left(\frac{5}{4}m + 7\right) |L_{i+1}^m| < 2^m \left(\frac{5}{4}m + 7\right) \frac{n^m}{2^m - 1} \leq \left(\frac{5}{2}m + 14\right) n^m.$$

From the discussion about prover complexity, we also get that the number of operations made by  $\mathcal{V}_{\text{RM}}$  for a single consistency test is less than  $2 \cdot 2^m + 5 \cdot 2^m \left(1 + \frac{m}{4}\right)$ . Thus, verifier complexity is less than  $\alpha r 2^m \left(\frac{5}{4}m + 7\right)$ .  $\square$

**Comparisons with the univariate case** When we compared the FRI protocol with our IOPP for the tensor product of RS codes in Section 7.3, we argued that soundness is affected by the worse relative distance of tensor codes. In contrast, a short Reed-Muller code SRM  $[\mathbb{F}_q, L, m, k]$  has relative distance which is at least the one of a Reed-Solomon code RS  $[\mathbb{F}_q, L, k]$ . However, soundness of our IOPP for Reed-Muller code is worse than soundness of the FRI protocol for linear-size field [BGKS20] due to the more complex expression of the folding operators.

In Figure 4, we present the parameters of the FRI protocol for RS codes and our IOPP for Reed-Muller codes side by side for codes of blocklength  $N$  and a single repetition of the QUERY phase. The use of balancing functions in Definition 11 induces some extra costs compared to the IOPP for product codes.

Scheme	Prover	Verifier	Query	Length	Rounds
RS IOPP [BBHR18]	$< 6N$	$< 42 \log N$	$< 2 \log N$	$< N/3$	$< \log N$
RM IOPP	$< (2m + 7)N$	$2^m \left(\frac{5}{4} + \frac{7}{m}\right) \log N$	$< \frac{2^m}{m} \log N$	$< \frac{N}{2^m - 1}$	$< \frac{\log N}{m}$

Figure 4: Comparison between the IOPP for a RS code of [BBHR18] and our IOPPs for tensor of RS codes and RM codes. Blocklength of the codes is denoted by  $N$  and  $m$  is the number of variables of the multivariate codes.

## 9 Conclusion

In this paper, tensor product of Reed-Solomon codes and Reed-Muller codes over fields with smooth additive subgroups or smooth multiplicative subgroups have been shown to admit quite efficient interactive oracle proofs of proximity (IOPPs). These results can be interpreted as multivariate low degree tests, i.e. given a function  $f : L^m \rightarrow \mathbb{F}_q$ , a verifier distinguishes whether  $f$  corresponds to the evaluation of a degree- $d$  polynomial or is far in relative Hamming distance from any evaluations of low-degree polynomials, either using the notion of individual degrees or total degree. For a constant dimension  $m$ , our constructions have linear oracle proof length and prover complexity, logarithmic query and verifier complexities. In the case of tensor product of Reed-Solomon codes, our construction can be generalized to distinct degree bounds and different evaluation domain.

Many constructions of succinct non-interactive arguments (SNARG) rely on univariate polynomials for arithmetization. One of the reason is that there exists an efficient IOPP for Reed-Solomon codes [BBHR18]. Proposing highly-efficient IOPPs for multivariate polynomial codes might open up a range of different arithmetization techniques for designing explicit constructions of proof systems.

Regarding total degree tests, we think that allowing support  $L^m$  with  $L$  much smaller than  $\mathbb{F}_q$  gives more flexibility in the design of proof systems. However, we had to require  $d$  to be less than  $|L|$  to ensure completeness and soundness. A natural question is whether an IOPP for multivariate polynomial codes with total degree  $d > |L|$  can be designed.

We also note that our proximity parameter is not as good as the one from [BCI<sup>+</sup>20], where a formal Guruswami-Sudan [GS01] decoding algorithm is analyzed for a worst-case to average-case reduction. Obtaining such a large proximity parameter would involve an analysis of algebraic decoding algorithms of multivariate codes over the field of rational functions.

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## A Proof of Lemma 5

The beginning of the proof of Lemma 5 is the same as the one of [BGKS20, Lemma 3.2]. We rewrite the proof entirely since we need to rely notations introduced along the analysis.

*Proof of Lemma 5.* First, observe that if the function  $\phi : D \rightarrow [0, 1]$  is constant equal to 1, then  $\mu_\phi(u, C) = 1 - \Delta(u, v)$ . Therefore, for any weight function  $\phi : D \rightarrow [0, 1]$  and any  $u, v \in \mathbb{F}_q^D$ ,  $\mu_\phi(u, v) \leq 1 - \Delta(u, v)$ . Consequently,  $\mu_\phi(u, C) \leq 1 - \Delta(u, C)$ . Thus, the set

$$\{z \in \mathbb{F}_q \mid \mu_\phi(u_0 + zu_1, C) > 1 - \delta\}$$

is contained in  $A := \{z \in \mathbb{F}_q \mid \Delta(u_0 + zu_1, C) < \delta\}$  and the hypothesis implies  $|A| \geq \frac{2}{\varepsilon^2}$ . Now, the proof follows the one of [BGKS20, Lemma 3.2].

For each  $z \in \mathbb{F}_q$ , denote  $u_z = u_0 + zu_1$  and let  $v_z \in C$  be a codeword such that  $\Delta(u_z, C) = \Delta(u_z, v_z)$ . Let  $T_z := \{x \in D \mid u_z(x) = v_z(x)\}$  be the agreement set of  $u_z$  and  $v_z$ .

For  $z_0, z_1, z_2$ , picked uniformly and independently in  $A$  and  $y$  picked uniformly from  $D$ , we have

$$\begin{aligned} \mathbf{E}_{z_0, z_1, z_2} \left[ \frac{|T_{z_0} \cap T_{z_1} \cap T_{z_2}|}{n} \right] &= \mathbf{E}_{y, z_0, z_1, z_2} [\mathbf{1}_{y \in T_{z_0} \cap T_{z_1} \cap T_{z_2}}] \\ &= \mathbf{E}_y [\mathbf{E}_z [\mathbf{1}_{y \in T_z}]^3] \\ &\geq \mathbf{E}_{y, z} [\mathbf{1}_{y \in T_z}]^3 \\ &\geq (1 - \delta)^3 \\ &\geq 1 - \delta + \varepsilon. \end{aligned}$$

From this, one obtains

$$\Pr_{z_0, z_1, z_2} [|T_{z_0} \cap T_{z_1} \cap T_{z_2}| \geq (1 - \delta) |D|] \geq \varepsilon.$$

The probability of  $z_0, z_1, z_2$  being all distinct is at least  $1 - \frac{3}{|A|}$ , which is greater than  $1 - \frac{\varepsilon}{2}$  since  $|A| > \frac{6}{\varepsilon}$ . Thus, we get

$$\Pr_{z_0, z_1, z_2} [z_0, z_1, z_2 \text{ are all distinct and } |T_{z_0} \cap T_{z_1} \cap T_{z_2}| \geq (1 - \delta) |D|] \geq \varepsilon/2.$$

Consequently, there are distinct  $z_1$  and  $z_2$  such that

$$\Pr_{z_0} [|T_{z_0} \cap T_{z_1} \cap T_{z_2}| \geq (1 - \delta) |D|] \geq \varepsilon/2.$$

Fix a  $z_0$  such that  $|T_{z_0} \cap T_{z_1} \cap T_{z_2}| \geq (1 - \delta) |D|$ , and let  $S = T_{z_0} \cap T_{z_1} \cap T_{z_2}$ . We have that  $u_{z_0}, u_{z_1}, u_{z_2}$  all lie on the line  $l = \{u_0 + zu_1 : z \in \mathbb{F}_q\} \subset \mathbb{F}_q^D$ . As a consequence, when restricted to  $S$ , we have that  $u_{z_0|S}, u_{z_1|S}, u_{z_2|S}$  all lie on the line  $l|_S = \{u_0|_S + zu_0|_S : z \in \mathbb{F}_q\} \subset \mathbb{F}_q^S$ .

By definition of  $S$ ,  $T_{z_0}$ ,  $T_{z_1}$  and  $T_{z_2}$ , we also have that  $v_{z_0|S}, v_{z_1|S}, v_{z_2|S}$  lie on the line  $l|_S$ . Since  $S$  is an information set of  $C$ , we can linearly reencode  $v_{z_0|S}, v_{z_1|S}, v_{z_2|S}$  into  $v_{z_0}, v_{z_1}, v_{z_2}$ , and we observe

that  $v_{z_0}, v_{z_1}$  and  $v_{z_2}$  all lie on a same line. Thus, there are  $v_0, v_1 \in \mathbb{F}_q^D$  such that this line is defined by  $\{v_0 + zv_1; z \in \mathbb{F}_q\} \subset \mathbb{F}_q^D$ . There are  $\frac{\varepsilon}{2}$ -fraction of the  $z_0 \in A$  such that  $v_{z_0}$  belongs to this line. Notice that for such  $z_0$ ,  $v_{z_0} = v_0 + z_0v_1$ .

Let  $A' \subset A$  be the set of the  $z$ 's such that  $v_z$  (the word closest to  $u_z$ ) can be written  $v_z = v_0 + zv_1$ . Then, we have  $|A'| \geq \frac{\varepsilon}{2} |A| \geq \frac{1}{\varepsilon}$  and for all  $z \in A'$ ,  $\mu_\phi(u_0 + zu_1, v_0 + zv_1) > 1 - \delta$ . Therefore,

$$\begin{aligned} 1 - \delta &< \frac{1}{|A'|} \sum_{z \in A'} \mu_\phi(u_z, v_z) \\ &< \frac{1}{|A'| |D|} \sum_{z \in A'} \sum_{x \in D} (\phi(x) \cdot \mathbf{1}_{u_z(x)=v_z(x)}) \\ &< \frac{1}{|D|} \sum_{x \in D} \phi(x) \cdot \left( \frac{1}{|A'|} \sum_{z \in A'} \mathbf{1}_{u_z(x)=v_z(x)} \right). \end{aligned}$$

Let us consider  $T := \{x \in D \mid u_0(x) = v_0(x) \text{ and } u_1(x) = v_1(x)\}$ . Given  $x \in D \setminus T$ , there is at most one element  $z \in \mathbb{F}_q$  such that  $u_0(x) + zu_1(x) = v_0(x) + zv_1(x)$ . Thus, we conclude that

$$\begin{aligned} 1 - \delta &< \frac{1}{|D|} \sum_{x \in T} \phi(x) + \frac{1}{|D|} \sum_{x \in D \setminus T} \phi(x) \frac{1}{|A'|} \\ &< \frac{1}{|D|} \sum_{x \in T} \phi(x) + \varepsilon. \end{aligned}$$